

SARVA SHIKSHA ABHIYAN
UTTAR PRADESH

ANNUAL REPORT
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U.P EDUCATION FOR ALL PROJECT BOARD
LUCKNOW

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CHAPTER-1

SARVA SHIKSHA ABHIYAN IN UTTAR PRADESH

To achieve the objective of Universalization of Elementary Education (class 1-8) in a fixed time frame, the Govt. of Uttar Pradesh launched the centrally sponsored scheme Sarva Shiksha Abhiyan (SSA) in the State in 16 districts of UP - Kanpur, Nagar, Lucknow, Allahabad, Kaushambi, Sitapur, Gorakhpur, Banda, Chitrakoot, Etawah, Auraiya, Aligarh, Hathras, Saharanpur, Varanasi, Chandauli & Bhadohi in the year 2001-02. From 2002-03, Sarva Shiksha Abhiyan is being implemented in all the districts of the State.

BASIC FACTS ABOUT THE PROJECT

Project Implementation Society

- State : UP Education For All Project Board
- District : District Education Project Committee

Funding Pattern :

	GOI	GOUP
▪ 9th Five Year Plan	85	15
▪ 10th Five Year Plan	75	25

Coverage : The entire state of Uttar Pradesh

OBJECTIVES OF SARVA SHIKSHA ABHIYAN

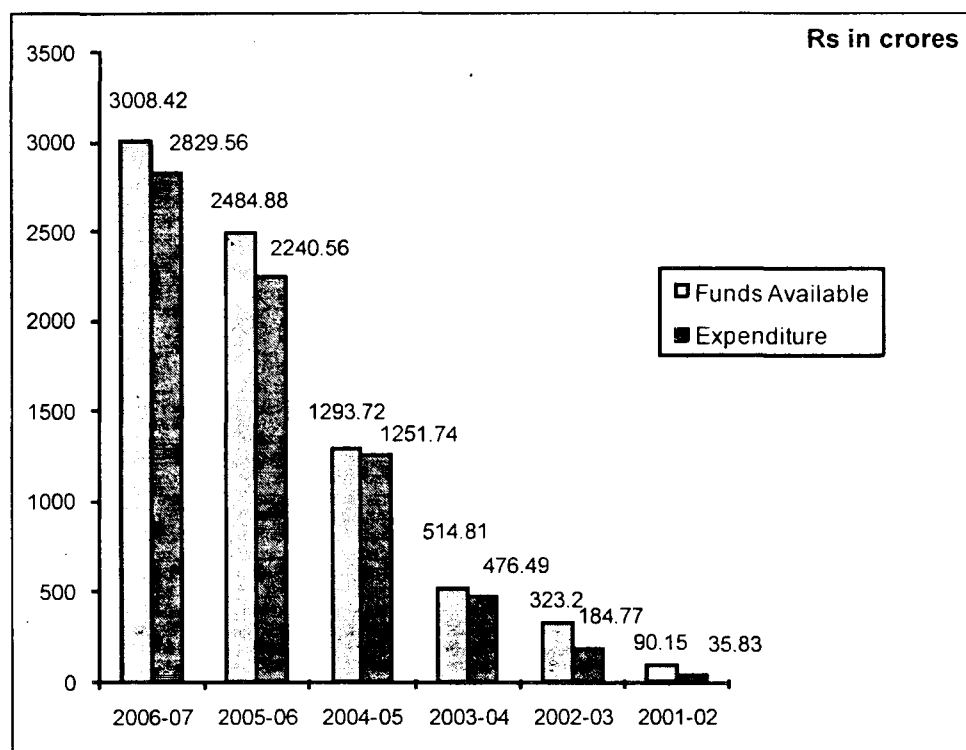
Broadly, the objectives of SSA are to build state, district and sub-district level managerial and professional capacity for the development of elementary education, provide useful and relevant elementary education for all children in the 6-14 age group by 2010 (class 1 to 8), to reduce dropout rates as well as to improve access of children to elementary education and bridge social, regional

and gender gaps with active participation of the community in management of schools.

Year wise approved AWP & B, funds received and expenditure incurred under SSA is given below-

Rs in crores

Year	Approved AWP & B	Funds Received			Expenditure till 31st
		Balance of last year	Funds received during the year	Total funds available	
2001-02	188.06	-	90.15	90.15	35.83
2002-03	430.76	54.32	268.88	323.20	184.77
2003-04	1095.13	60.90	453.91	514.81	476.49
2004-05	1604.46	123.57	1170.15	1293.72	1251.74
2005-06	2641.89	47.56	2437.32	2484.88	2240.56
2006-07	3678.51	253.03	2755.39	3008.42	2829.56



Details of state share received against Govt. of India release is given below-

Rs. in crore

Year	Funds Received during the year			% of State Share
	From Govt. of India	From State Govt.	Total	
2001-02	76.63	13.52	90.15	15
2002-03	201.66	67.22	268.88	25
2003-04	340.43	113.48	453.91	25
2004-05	877.61	292.54	1170.15	25
2005-06	1827.99	609.33	2437.32	25
2006-07	2066.54	688.85	2755.39	25

CHAPTER-2

MANAGEMENT STRUCTURE: A RESPONSIVE AND FLEXIBLE SYSTEM

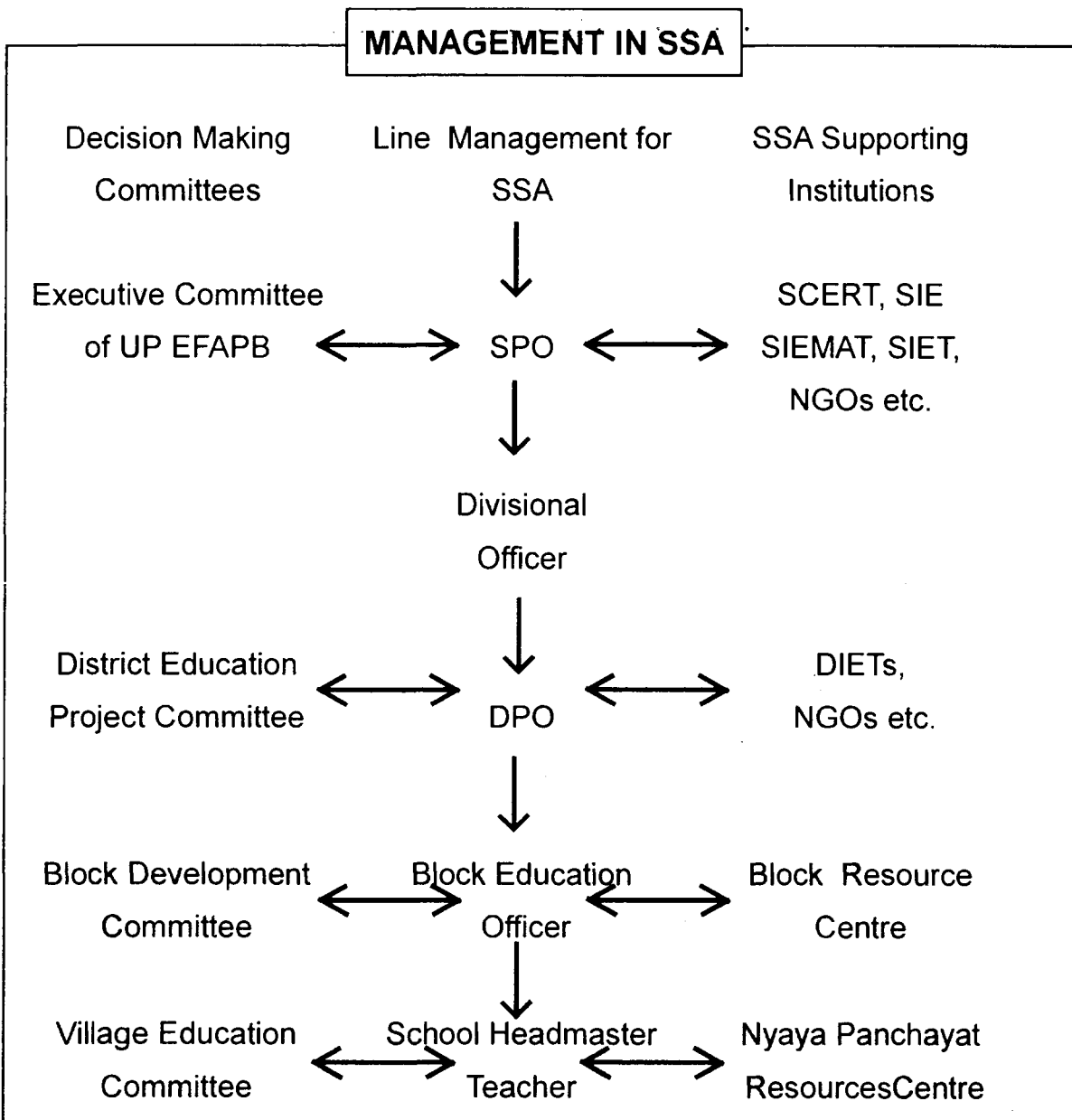
The Sarva Shiksha Abhiyan intends to achieve the goal of universal elementary education by creating a sustainable and decentralized educational management system and bringing in community participation in all processes of the programme. This being an ambitious challenge for a major social sector development programme in the State whereby decentralization and community participation are being practiced on a large scale.

As a response to this enormous task, SSA has evolved a management structure with a high degree of flexibility in administrative functioning, with mechanisms to establish accountability, provide for smooth flow of financial inputs and above all create a space to experiment with innovative methods & models. In a vast State like Uttar Pradesh with regional variations, the emphasis has been on contextuality, local needs and decentralized planning and the SSA Programme provides the administrative / managerial flexibility to accommodate local needs.

UP EDUCATION FOR ALL PROJECT BOARD (UP EFAPB)

The UP Education For All Project Board (UP EFAPB) was established on May 17, 1993 under the Societies Registration Act to function as a societal mission for achieving the objective of universalisation of primary education. The Board has been established as an autonomous and independent body, to bring about fundamental change in the basic education system and thereby in the socio cultural

scenario of UP. The Project Board has been established to oversee, guide and coordinate overall project implementation, allocate funds to approved programmes, maintain project accounts, coordinate procurement and monitor implementation progress. The above Project Board, which has been implementing UP Basic Education Project and DPEP-II and III has been entrusted and authorized to implement SSA also.



STATE PROJECT OFFICE (SPO)

The State Project Office is set up under the UPEFAPB for implementation and monitoring of SSA. The State Project office has rich experience of implementing Basic Education Project, DPEP-II and DPEP-III. A cell for internal audit and a cell for technical supervision of civil works has also been created at State Project Office.

DISTRICT EDUCATION PROJECT COMMITTEE

In all the districts, District Education Project Committee (DEPC) have been constituted under the Chairmanship of the District Magistrate for overall guidance and supervision of SSA implementation.

The committee comprises of educationists, representatives of voluntary agencies besides district level officials. All SSA districts are regularly convening a meeting of the DEPC for effective implementation and monitoring of programme interventions. They have supervised selection of construction sites, EGS centres, AS centres, recruitment of Shiksha Mitras and all procurement issues.

The functions of the DEPC include the following:-

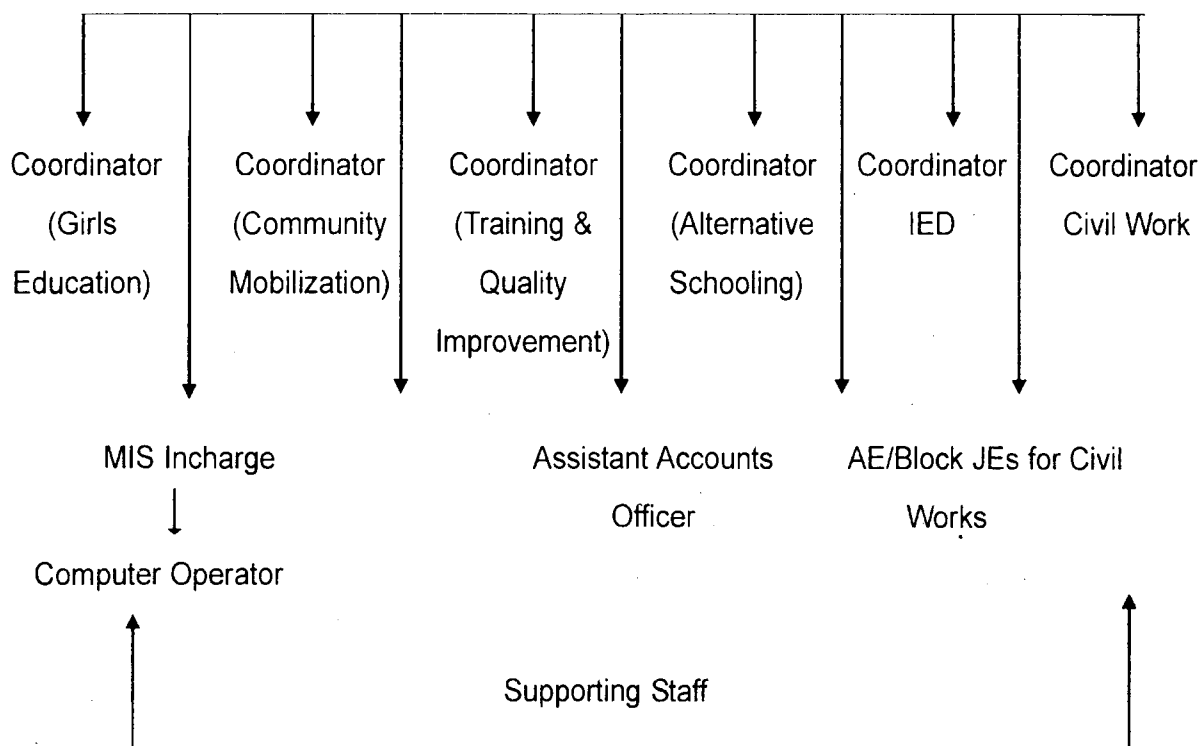
- To oversee the implementation of SSA
- To formulate Annual Work Plan and Budget of the district.
- To arrange for supervision of civil works.
- To develop district MIS.
- To establish coordination between different departments for convergence services for primary education.
- To establish coordination between DIET and BSA.
- To issue policy guidelines for different activities under SSA components.
- To promote structures and mechanism for participatory educational planning and development with focus on VECs.

DISTRICT PROJECT OFFICE

For the implementation of SSA, District Project Offices have been set up and are functional in all 70 districts. BSA, who is the District Project officer is in place in all the districts. Keeping in view the emphasis on targeted interventions 6 posts of District Coordinators, one each for gender, community mobilisation, training, alternative schooling, integrated education and civil work have been provided. Besides, One Asstt. Accounts Officer has been provided to help in disbursement of funds to VECs and other agencies.

Supporting staff- MIS incharge, computer operator, accountant, stenographer have also been provided in the District Project Office.

**DISTRICT PROJECT OFFICE
DISTRICT PROJECT OFFICER - BASIC SHIKSHA ADHIKARI**



MANAGEMENT INFORMATION SYSTEM

Each of 70 districts, has been provided 2 computer hardware and 2 computer staff. MIS is functional in all districts and at state level. All 70 districts have generated EMIS report for the year 2006-07. The data has been analysed by the SIEMAT and dissemination workshops have been organised in which district functionaries participated. The proper utilization of EMIS data in the preparation of Annual Work Plan and Budget for the district was explained to them.

FINANCIAL MANAGEMENT

- All the districts have been provided with one Asstt. Accounts Officer in each district.
- Separate bank accounts are being maintained for SSA funds.
- The Manual on Financial Management and Procurement circulated by the Ministry of Human Resource Development has been adopted by the Executive Committee of UP Education for All Project Board in its meeting on 24.02.2005. The same has been implemented statewide
- Asstt. Accounts Officers of all the districts and officers of the State Project office have been given training on the Manual..
- Financial Handbooks were developed for BRC-coordinators and a series of training workshops were organized by the SIEMAT, Allahabad for BRC-coordinators and Block level Asstt. Basic Shiksha Adhikaries.
- State level Monthly review is done by Finance Officers of SPO in the meetings of the Asstt. Accounts Officers.
- Monthly Monitoring Report and Quarterly Review Reports on the prescribed formats are sent to the Ministry regularly.

- For Audit of SSA funds, chartered Accountants have been contracted with the approval of the Executive Committee.
- The audit for the year 2006-07 has been completed and the Audit Report is Annexed.

ELECTRONIC TRANSFER OF FUNDS UNDER SSA

Old System of Funds Transfer

- ❖ Prior to August 2004, the funds under SSA were remitted to DPOs/DIETs through Bank Drafts.
- ❖ These Bank Drafts were then sent to the districts by post/courier.
- ❖ DPOs/DIETs used to deposit these drafts in project bank accounts.
- ❖ After clearing process funds were made available for disbursement.
- ❖ From DPOs the funds were disbursed to sub district levels. In this process of disbursement the cheques and bank drafts were drawn in favour of each and every BRC, NPRC and numerous VECs. In that system problem of time barred cheques/ bank draft and misplacement of cheques and bank drafts was a common issue that hurdled the aspired project activities because of delay in transfer of funds.
- ❖ In the above process the funds released for the districts & sub districts offices remained in pipeline for a period of at least 30 days.
- ❖ The process of transfer of funds through drafts used to consume a lot of time in making/preparing the drafts which adversely affected the progress of various activities under SSA.

Need of New System of Funds Transfer

- ❖ With the increase in the outlay of the project, the need of effective tools &

techniques of the funds transfer from SPO to districts and from districts to sub districts was realized to strengthen the project management capabilities.

- ❖ Successful programme implementation and quality of various activities requires the timely availability of funds at the points where it is to be spent. For this, a streamlined fund flow system is imperative, as in SSA the activities are decentralized and points of expenditures are much in number. Due to this, a need for quick and timely fund remittance system was required.
- ❖ The poor utilization of fund in previous year due to old and inadequate system of remittances through bank drafts highlighted the need of having an effective, prompt and quick process of transfer of funds from SPO to districts and districts to sub districts level. As a result, in August 2004 the system of electronic transfer of funds called EFT was introduced throughout the state.

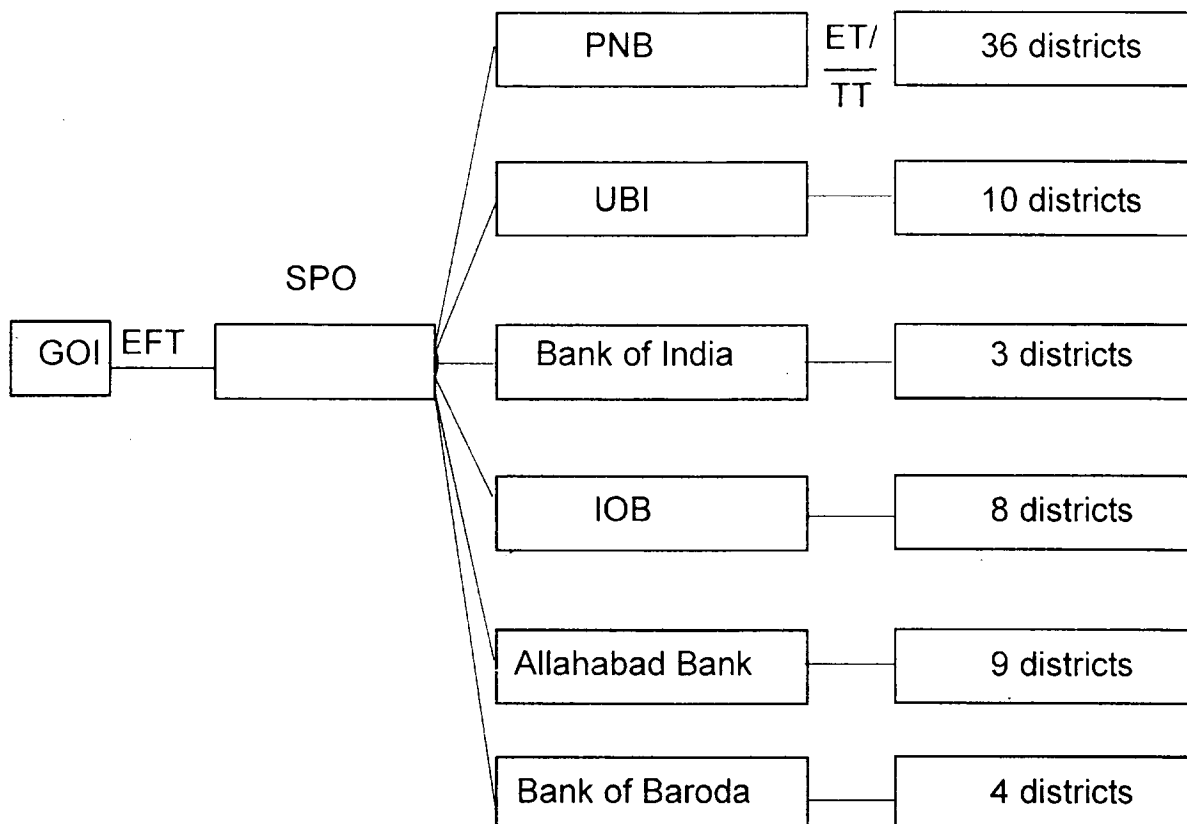
The Beginning

- ❖ The work started with be compilation of bank accounts of all DPOs & DIETs.
- ❖ After the compilation, the bank accounts of all DPOs & DIETs were divided into 6 groups on the basis of their accounts which were already functional in 6 Nationalized bank's - Punjab National Bank, Indian Overseas Bank, Allahabad Bank, Bank Of India, Union Bank of India and Bank of Baroda.
- ❖ Each list having district names along with their bank accounts of DPOs & DIETs was given to these banks (one list to each bank).
- ❖ The concerned bank verified the district names, their account number and branch codes (code of that bank with which the district had its account).
- ❖ The idea was to make these banks centres of direct remittance of funds

from state to district level.

The Process/Procedure

- ❖ SPO prepares the lists of districts along with the names of the bank in which the district has its account with the respective account numbers.
- ❖ The SPO hands over a single cheque and the list of amount and district wise bank accounts where the funds are to be credited to the respective banks.
- ❖ These 6 Nationalized banks then transfer funds from SPO A/C (maintained in their branch) to DPOs/DIETs bank accounts through Electronic/ Telegraphic Transfer, thus crediting funds in DPOs/DIETs accounts with in a day or two.

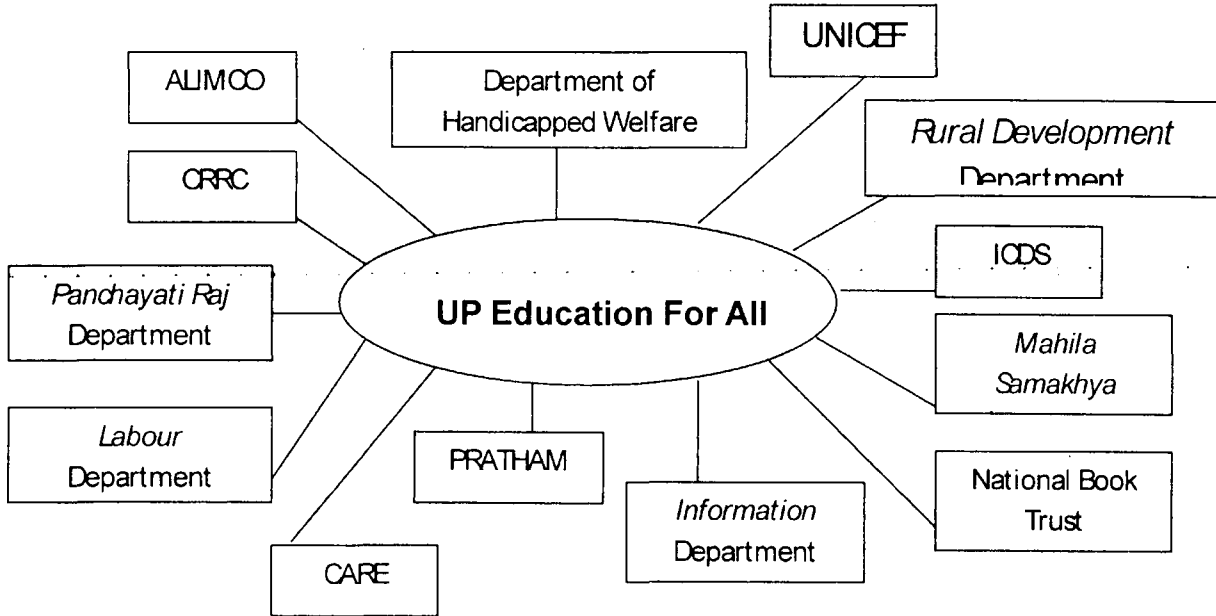


- ❖ For further gearing up of the remittance of funds at districts & sub districts levels it was required that all the DPOs & DIETs were also familiarized with the new system.
- ❖ For the orientation of all DPOS / DIETS workshop/meetings were conducted at SPO in several Phases, equipping them to quickly adopt this new system.
- ❖ In the old system of transfer of the fund from DPO to sub district level (VEC / BRC / NPRC), the DPO's have to collect the A/C no. of Sub District level for the transferring the funds every time.
- ❖ In the new system of fund transfer DPO's are Instructed to compiled a computerized list of the Bank account of sub district level. After computerizing the list of the VEC's and BRC's accounts DPO's open the A/C in the bank which has the maximum coverage area in the district.
- ❖ The process of remittance of funds adopted at DPOs / DIETs is similar to that of the process adopted at SPO level. The DPOs / DIETs directly Remit the amount from their account to the account of BRC,s / NPRC,s and VEC through telegraphic/ credit advice through banking channel.

The out come

- ❖ In short, the new system of EFT has not only speeded up the transfer of funds to districts but also expedited various programme activities, as now flow of funds is no longer a constraint.
- ❖ This new system of fund transfer worked well, since adoption.
- ❖ This is clear from the fact that during financial year 2003-04 the expenditure till 31.3.2003 was only 476.49 crore (when the old system was in force / use) whereas the total expenditure during the financial year 2004-05 has been 1251.74 crore (when the new system was adopted). The expenditure during 2005-06 was Rs.2240.56 crore and during 2006-07 is Rs. 2829.56 crore.

Convergence and Collaboration with Other Departments & Organizations



CHAPTER-3

IMPROVING ACCESS

Sarva Shiksha Abhiyan provides the opening and construction of new primary and upper primary schools and the strengthening of physical infrastructure of schools.

Opening of new primary schools and upper primary schools

(A) Primary School - The unit cost of each primary school building to be opened under the programme was Rs. 2.59 lacs - (Rs. 1.91 lac for school building, Rs.0.10 lac for two roomed toilet, Rs.0.18 lacs for hand pump and Rs.0.40 lacs for boundary wall.) till 2003-04. In 2004-05 the unit cost of primary school building has been revised according to new PWD schedule rates. During 2006-07, the unit cost of primary school building was further revised to incorporate earthquake resistance provisions. The revised cost of primary school building is Rs.3.995 lacs.

(a) Designs of primary school - Five new designs of primary school building were developed under design renewal from 'Innovation fund' under DPEP-II construction. Construction manuals of these 5 designs were prepared and printed. SSA districts were given the freedom of choice out of these five designs based on local conditions and requirements as well as the choice of old design. The district chose one design. During 2006-07, new designs were developed making

provision for earthquake resistant components. All civil constructions are being done by VECs.

(b) Technical supervision and training - State government issued Govt. orders to engage engineers of Rural Engineering Services for technical supervision of civil work on honorarium basis. During 2006-07, massive training programme was undertaken for engineers, ABSAs, Head master, Gram Pradhan and masons with the expert help of Orissa Technocrat's Development Forum and Disaster Management Authority of U.P. Govt. About 90,000 persons were given training on construction based on EQ resistant design. Specific manuals were also printed and made available at the site of construction.

(c) Construction Manuals - Printed manuals developed for concerned design were distributed, along with the manuals of upper primary school buildings, toilets and additional classroom to participants during the training programme. The manuals are simple with clear directions and specify the technical requirements.

(d) Norms-

(i) Primary School - As per the revised norms of Government of UP, there should be a primary school for habitation having a population of 300 or more, if there is no primary school within a distance of 1.00 km.

A total of 11807 new primary schools were sanctioned in Uttar Pradesh during 2001-02 to 2006-07. All these schools have become operational. 10332 school buildings have been completed and remaining school buildings are at different stages of construction.

District-wise progress of new primary schools as on 31.3.07 is given below:-

S. No	District	Target for new Primary Schools (2001-02 to 2006-07)	Under construction	Complete
		Total Target		
1	Agra	195	26	169
2	Aligarh	230	23	207
3	Allahabad	391	13	378
4	Ambedkar Nagar	122	22	100
5	Auraiya	191	20	171
6	Azamgrah	273	66	207
7	Badaun	336	2	334
8	Baghpat	19	2	17
9	Bahraich	397	151	246
10	Ballia	247	53	194
11	Balrampur	121	20	101
12	Banda	177	6	171
13	Barabanki	192	13	179
14	Bareilly	277	21	256
15	Basti	160	40	120
16	Bhadohi	114	36	78
17	Bijnaur	79	8	71
18	Bulandshahar	122	13	109
19	Chandauli	116	9	107
20	Chitrakoot	172	16	156
21	Deoria	127	0	127

22	Etah	201	44	157
23	Etawah	187	0	187
24	Faizabad	170	26	144
25	Farrukhabad	173	25	148
26	Fatehpur	170	1	169
27	Firozabad	274	13	261
28	Gautam Budh Nagar	76	4	72
29	Ghajipur	157	25	132
30	Ghaziabad	16	1	15
31	Gonda	154	45	109
32	Gorakhpur	185	29	156
33	Hamirpur	59	7	52
34	Hardoi	253	2	251
35	Hathras	181	5	176
36	J.P.Nagar	139	30	109
37	Jalaun	86	3	83
38	Jaunpur	152	29	123
39	Jhansi	109	3	106
40	Kannauj	180	39	141
41	Kanpur Dehat	304	9	295
42	Kanpur Nagar	201	10	191
43	Kaushambi	202	3	199
44	Kushi Nagar	313	73	240
45	Lakhimpur Kheri	341	35	306
46	Lalitpur	132	0	132

47	Lucknow	203	3	200
48	Maharaj Ganj	148	50	98
49	Mahoba	43	1	42
50	Mainpuri	192	20	172
51	Mathura	115	14	101
52	Mau	71	10	61
53	Merrut	11	6	5
54	Mirzapur	157	20	137
55	Moradabad	192	42	150
56	Muzaffar Nagar	10	4	6
57	Pilibhit	69	4	65
58	Pratapgarh	151	25	126
59	Raibareilly	200	50	150
60	Rampur	101	15	86
61	Saharanpur	86	3	83
62	Sant Kabir Nagar	135	11	124
63	Shahjahanpur	138	32	106
64	Sidharth Nagar	270	35	235
65	Sitapur	281	20	261
66	Sonbhadra	164	13	151
67	Srawasti	150	13	137
68	Sultanpur	87	29	58
69	Unnao	228	6	222
70	Varanasi	132	28	104
	Total	11807	1475	10332

(ii) **Upper Primary School** - AS per revised norm of GOUP there should be a upper primary school for habitation having a population of 800 or. more if there is no upper primary school within a distance of 2 kms.

The unit cost of upper primary school was Rs.2.80 lacs till 2003-04 in which Rs.2.70 lac was for school building and 0.10 lac for two roomed toilet In 2004-05 the unit cost was revised first time in last 7 years, which resulted in early completion of buildings as well as maintaining quality of construction. In 2005-06 the building design for upper primary school was revised. On the basis of new design the cost of the building was Rs. 4.95 lacs which has been further revised to Rs 5.28 lacs due to inclusion of earthquake resistance provisions in the building in 2006-07. New upper primary school is being opened as upgraded primary school. If there is no land available in primary school campus, new upper primary school is being opened separately. From 2001-02 to 2006-07, a total of 16167 new upper primary schools were sanctioned all of which have become operational by 31.03.2007. 13967 school buildings were complete by 31.03.2007 and remaining schools are at different stage of construction.

District-wise progress as on 31.3.07 is given below:-

S.No	District	Target for new Upper Primary School Total Target (2001-02 to 2006-07)	Under construction	Complete
1	Agra	361	130	231
2	Aligarh	226	25	201
3	Allahabad	438	19	419
4	Ambedkar Nagar	229	78	151

5	Auraiya	224	21	203
6	Azamgrah	421	128	293
7	Badaun	294	21	273
8	Baghpat	85	10	75
9	Bahraich	346	88	258
10	Ballia	284	73	211
11	Balrampur	282	19	263
12	Banda	204	7	197
13	Barabanki	289	20	269
14	Bareilly	194	7	187
15	Basti	259	18	241
16	Bhadohi	174	28	146
17	Bijnaur	274	55	219
18	Bulandshahar	374	49	325
19	Chandauli	179	31	148
20	Chitrakoot	151	12	139
21	Deoria	226	0	226
22	Etah	161	36	125
23	Etawah	215	6	209
24	Faizabad	185	36	149
25	Farrukhabad	243	49	194
26	Fatehpur	223	2	221
27	Firozabad	214	31	183

28	Gautam Budh Nagar	120	4	116
29	Ghajipur	286	65	221
30	Ghaziabad	82	10	72
31	Gonda	278	45	233
32	Gorakhpur	285	52	233
33	Hamirpur	143	11	132
34	Hardoi	197	0	197
35	Hathras	131	12	119
36	J.P.Nagar	202	23	179
37	Jalaun	264	12	252
38	Jaunpur	329	98	231
39	Jhansi	223	10	213
40	Kannauj	221	46	175
41	Kanpur Dehat	270	66	204
42	Kanpur Nagar	227	6	221
43	Kaushambi	232	0	232
44	Kushi Nagar	349	95	254
45	Lakhimpur Kheri	323	31	292
46	Lalitpur	205	0	205
47	Lucknow	199	11	188
48	Maharaj Ganj	226	50	176
49	Mahoba	177	1	176
50	Mainpuri	168	13	155

51	Mathura	231	32	199
52	Mau	216	42	174
53	Merrut	72	12	60
54	Mirzapur	212	30	182
55	Moradabad	295	64	231
56	Muzaffar Nagar	205	31	174
57	Pilibhit	135	8	127
58	Pratapgarh	177	39	138
59	Raibareily	209	25	184
60	Rampur	77	18	59
61	Saharanpur	296	8	288
62	Sant Kabir Nagar	156	11	145
63	Shahjahanpur	270	46	224
64	Sidharth Nagar	364	19	345
65	Sitapur	381	28	353
66	Sonbhadra	238	21	217
67	Srawasti	208	16	192
68	Sultan Pur	163	38	125
69	Uniao	235	21	214
70	Varanasi	135	31	104
	Total	16167	2200	13967

Education Guarantee Scheme (EGS)

During 2001-02, EGS/AIE scheme for 16 districts was sanctioned separately from SSA. It was not made integral part of SSA. The Directorate of Literacy and Alternative Innovative Education were entrusted to implement the scheme.

GOUP decided to implement Education Guarantee Scheme to provide cent percent access to children of remote areas, left out hamlets especially for younger children who cannot walk long distance. EGS envisages opening of a school for Classes 1 and 2 in such habitations which do not have a primary school within a radius of 1 km and 30 children, in the age group of 6 to 11 years, are available (15 children in special circumstances). In order to foster "ownership" of the scheme, the community have been assigned the responsibility to provide space and accommodation for the centre, called "Vidya Kendra". Formal curriculum and textbooks are used in these centres.



Each EGS centre has an Acharyaji to teach children of classes 1 and 2. Village Education Committees have been given powers to appoint Acharyaji at a fixed honorarium of Rs. 1000/- per month. Acharyaji is given one month's induction training and a 15 day refresher training every year. Besides, the centre is provided teaching learning materials. During year 2006-07, out of 5639 a total 4683 EGS centres have been established in the districts.

Besides, four models of AIE have been launched for enrolment of out of school children of difficult circumstances. 3590 AIE primary, 8590 non-residential bridge courses, 821 residential bridge courses were sanctioned in the state for the year 2006-07. Out of which 2674 AIE primary, 5549 non-residential bridge courses and 584 residential bridge courses were made operational.

Districtwise progress is as follows:

S. No.	District	EGS	AIE (P.S.)	Bridge Course (Non-Residential)	Bridge Course (Residential)
1	Agra	31	51	107	8
2	Aligarh	110	16	97	12
3	Allahabad	200	57	187	18
4	Ambedkar Nagar	34	32	28	5
5	Auraiya	37	16	21	8
6	Azamgarh	25	15	49	0
7	Badaun	41	8	192	18
8	Baghpat	25	55	22	1
9	Bahraich	90	78	135	14

10	Balia	130	101	171	16
11	Balrampur	149	4	75	9
12	Banda	157	0	26	3
13	Barabanki	168	85	133	15
14	Bareilly	85	46	172	15
15	Basti	36	22	50	1
16	Bhadohi	40	40	78	6
17	Bijnor	34	56	31	2
18	Bulandshahar	19	28	107	15
19	Chandauli	34	12	39	1
20	Chitrakoot	36	0	32	5
21	Deoria	89	22	12	0
22	Etah	70	40	130	15
23	Etawah	76	44	20	5
24	Faizabad	12	9	24	4
25	Farrukhabad	33	30	65	6
26	Fatehpur	52	34	54	10
27	Firozabad	63	60	42	9
28	G.B. Nagar	34	16	37	4
29	Gazipur	95	111	184	10
30	Ghaziabad	50	41	51	5

31	Gonda	243	54	180	16
32	Gorakhpur	90	25	42	9
33	Hamirpur	29	21	59	7
34	Hardoi	39	13	98	15
35	Hathras	92	10	64	7
36	J.P. Nagar	60	96	48	6
37	Jalaun	11	11	19	2
38	Jaunpur	96	35	114	13
39	Jhansi	63	28	17	5
40	Kannauj	36	33	54	5
41	Kanpur Dehat	15	0	44	4
42	Kanpur Nagar	51	26	57	10
43	Kaushambi	56	27	85	8
44	Kushinagar	28	24	44	8
45	Lakhimpur Kheri	133	55	163	4
46	Lalitpur	80	70	43	6
47	Lucknow	29	41	88	8
48	Maharajganj	69	11	93	2
49	Mahoba	6	9	20	4
50	Mainpuri	48	61	53	6
51	Mathura	21	14	35	10

52	Mau	42	66	24	9
53	Meerut	12	73	38	8
54	Mirzapur	110	44	88	8
55	Moradabad	166	74	123	13
56	Muzaffar Nagar	2	27	8	9
57	Pilibhit	74	29	69	7
58	Pratapgarh	62	85	97	4
59	Rai-Bareilly	56	38	58	19
60	Rampur	16	53	62	4
61	Saharanpur	31	43	112	11
62	Sant Kabir Nagar	110	18	75	8
63	Shahjahanpur	139	10	150	14
64	Siddharth Nagar	41	26	178	13
65	Sitapur	150	100	230	19
66	Sonbhadra	121	59	91	7
67	Srawasti	50	32	51	5
68	Sultanpur	36	31	173	23
69	Unnao	37	39	37	0
70	Varanasi	78	34	94	8
	Total	4683	2674	5549	584

Major Initiatives in 2006-07

Household survey was conducted in August 2006. For the first time detailed information on the various categories of child labor was incorporated in the format so as to chalk out specific strategies of Alternative and Innovative Education suitable to the needs of target group like flexibility of timing, convenient place of teaching and bridge courses for older children in the age group of 11-14.

The data of Household survey was analyzed at the state level and specific locations fulfilling the criteria for opening of EGS centres - catering to the need of children out of school due to the reason of access, AIE centres specifically for child labours and Residential Bridge courses for older children in the age group of 11-14 were identified and sent to all the District Basic Education Officers for verification and to open centres accordingly.



Thus efforts are being made for identification and covering out of schools children identified in House hold Survey and to bring them in fold of èducation.

- Out of the 5693 sanctioned EGS , 4683 centers were made operational.

- Under AIE Primary scheme 3590 centers were sanctioned, 2674 centers were made operational. Strengthening of 828 madarasas, recognized by Arabi Farsi Madarsa Board was provided during 2006-07 out of which 578 AIE Madarasas have been strengthened.
- Out of 8590 non-residential bridge courses sanctioned, 5549 centers were made operational.
- Out of 821 residential bridge courses sanctioned, 584 Bridge Courses were made operational.
- Total enrolment in EGS/AIE was 442940
- 122465 children have been mainstreamed as on 31 March 2007.
 - A two day orientation workshop of all the District Coordinators (Alternative schooling) was organized on 19-20 June 2006 at Directorate of Alternative and Innovative Education, Lucknow. Identification of older children, sites for NRBC/RBCs and chalking out strategies & targets were the main focus of the workshop.
 - Detailed guidelines for associating NGOs with Sarva Shiksha Abhiyan activities have been developed by UP Education for All Project Board in 2005 and disseminated widely, which are also available on our website, www.upefa.com. These guidelines clearly specify the eligibility criteria, selection procedure, system of award of contract, TORs of different interventions and other details.
 - Four state level workshops were organised, 19 Sept, 06, 28 Dec, 06 and 21 and 23 March 07 for various selected NGOS of state & district level. They were oriented for operating RBC/NRBC in the districts.
 - For the purpose of facilitation and in order to get wider participation of

NGOs, the power to approve the residential bridge course proposals and non-residential bridge course proposals of district level NGOs have been delegated to District Education Project Committee headed by DM.



- 45 NGOs are involved in NRBC and 143 RBC.
- In 2006, 3 meetings of Grant-in-aid-Committee were organized in which 27 NGOs for setting up 29 RBCs and 4 NGOs for setting up 70 NRBCs were selected and given projects.
- 358 RBCs and 686 NRBCs have been operationalised by NGOs.
- Script writing workshops were organised during 8 - 9 June'06 and 12 - 15 June 2006 with the purpose to develop modules for residential & non-residential bridge course.
- Intensive curriculum development workshops were organised during 10-11 Aug 06.

- SRG meetings/workshops were organised on 21 June 06 and 8 Dec 06. The agenda of the meeting was the district allotment to SRG members with the purpose to conduct supervision in the allotted districts.
- A series of workshops was organised from 22-24 Dec 05, 1-3 March 06, 7 & 8 March 06 and 7-10 April 2006, with the purpose to develop Residential and Non-Residential Bridge course Training Module.

A workshop was organized during 28-30 March 07, with purpose to revise and update the NRBC module. Earlier the said module was for 6 months, after the revision, it is now of 9 months proposed to be introduced from 2008.

- Orientation workshops were organised for ABSAs on 9,12,13 & 15 March 2007 with the purpose to orient them on Management, Monitoring & Supervision skills of Residential & Non-Residential camps.

CHAPTER - 4

IMPROVING RETENTION

For improving retention of children enrolled in primary and upper primary schools the following provision for additional facilities in schools have been under taken in the civil works programme under SSA.

- Reconstruction of buildingless and dilapidated primary and upper primary school building.

Reconstruction of 4959 dilapidated primary schools was sanctioned during 2002-03, 2003-04, 2004-05, 2005-06 and 2006-07 out of which 4848 were complete by 31.03.07 and remaining are under construction.

District-wise progress of reconstruction of dilapidated primary school buildings is given below.

S.No	District	Target for Reconstruction of Primary Schools (2002-03 to 2006-07)	Under construction	Complete
1	Agra	56	17	39
2	Aligarh	240	1	239
3	Allahabad	207	17	190
4	Ambedkar Nagar	69	0	69

5	Auraiya	93	0	93
6	Azamgrah	64	1	63
7	Badaun	136	0	136
8	Baghpat	20	0	20
9	Bahraich	26	1	25
10	Ballia	31	0	31
11	Balrampur	113	1	112
12	Banda	133	2	131
13	Barabanki	38	0	38
14	Bareilly	28	0	28
15	Basti	58	0	58
16	Bhadohi	55	0	55
17	Bijnaur	57	0	57
18	Bulandshahar	81	0	81
19	Chandauli	49	0	49
20	Chitrakoot	81	3	78
21	Deoria	95	0	95
22	Etah	25	1	24
23	Etawah	83	0	83
24	Faizabad	30	1	29
25	Farrukhabad	55	0	55

26	Fatehpur	10	0	10
27	Firozabad	87	2	85
28	Gautam Budh Nagar	4	0	4
29	Ghaziabad	30	1	29
30	Ghaziipur	75	0	75
31	Gonda	120	5	115
32	Gorakhpur	59	1	58
33	Hamirpur	33	0	33
34	Hardoi	65	0	65
35	Hathras	55	0	55
36	J.P.Nagar	69	0	69
37	Jalaun	91	0	91
38	Jaunpur	40	0	40
39	Jhansi	53	0	53
40	Kannauj	20	0	20
41	Kanpur Dehat	20	0	20
42	Kanpur Nagar	113	3	110
43	Kaushambi	63	0	63
44	Kushi Nagar	20	0	20
45	Lakhimpur Kheri	63	0	63
46	Lalitpur	98	0	98

47	Lucknow	174	20	154
48	Maharaj Ganj	44	2	42
49	Mahoba	92	0	92
50	Mainpuri	85	0	85
51	Mathura	74	0	74
52	Mau	48	0	48
53	Meerut	94	20	74
54	Mirzapur	30	0	30
55	Moradabad	161	1	160
56	Muzaffar Nagar	56	0	56
57	Pilibhit	40	0	40
58	Pratapgarh	20	0	20
59	Raibareilly	54	0	54
60	Rampur	76	0	76
61	Saharanpur	97	0	97
62	Sant Kabir Nagar	22	0	22
63	Shahjahanpur	151	0	151
64	Siddharth Nagar	128	1	127
65	Sitapur	213	0	213
66	Sonbhadra	33	0	33
67	Srawasti	10	0	10

68	Sultan Pur	25	0	25
69	Unnao	30	0	30
70	Varanasi	91	10	81
	Total	4959	111	4848

Reconstruction of 1239 dilapidated upper primary schools was sanctioned during 2002-03 to 2006-07, out of which 1217 schools were completed by 31.03.07 and remaining are at different stage of construction.

District-wise progress of reconstruction of building less or dilapidated upper primary school buildings is given below.

S.No	District	Target for Reconstruction of Primary Schools (2002-03 to 2006-07)	Under construction	Complete
1	Agra	6	0	6
2	Aligarh	44	1	43
3	Allahabad	38	0	38
4	Ambedkar Nagar	10	0	10
5	Auraiya	6	0	6
6	Azamgrah	8	0	8
7	Badaun	28	0	28
8	Baghpat	10	5	5
9	Bahraich	32	0	32
10	Ballia	40	0	40
11	Balrampur	6	1	5

12	Banda	26	0	26
13	Barabanki	29	0	29
14	Bareilly	18	0	18
15	Basti	16	0	16
16	Bhadohi	16	0	16
17	Bijnaur	19	0	19
18	Bulandshahar	12	0	12
19	Chandauli	10	0	10
20	Chitrakoot	9	0	9
21	Deoria	31	0	31
22	Etah	18	0	18
23	Etawah	19	0	19
24	Faizabad	10	0	10
25	Farrukhabad	18	0	18
26	Fatehpur	26	0	26
27	Firozabad	14	0	14
28	Gautam Budh Nagar	7	4	3
29	Ghaziabad	9	0	9
30	Ghazipur	10	0	10
31	Gonda	24	1	23
32	Gorakhpur	18	1	17
33	Hamirpur	21	0	21
34	Hardoi	40	0	40
35	Hathras	12	0	12

36	J.P.Nagar	10	0	10
37	Jalaun	24	0	24
38	Jaunpur	33	0	33
39	Jhansi	9	0	9
40	Kannauj	8	0	8
41	Kanpur Dehat	12	0	12
42	Kanpur Nagar	4	1	3
43	Kaushambi	21	0	21
44	Kushi Nagar	19	0	19
45	Lakhimpur Kheri	3	0	3
46	Lalitpur	5	0	5
47	Lucknow	31	0	31
48	Maharaj Ganj	20	1	19
49	Mahoba	9	0	9
50	Mainpuri	30	1	29
51	Mathura	5	0	5
52	Mau	20	1	19
53	Merrut	31	0	31
54	Mirzapur	10	1	9
55	Moradabad	16	0	16
56	Muzaffar Nagar	10	0	10
57	Pilibhit	26	0	26
58	Pratapgarh	5	0	5
59	Raibareilly	14	0	14

60	Rampur	12	3	9
61	Saharanpur	8	0	8
62	Sant Kabir Nagar	5	0	5
63	Shahjahanpur	26	0	26
64	Sidharth Nagar	51	0	51
65	Sitapur	11	0	11
66	Sonbhadra	10	0	10
67	Srawasti	11	0	11
68	Sultan Pur	22	0	22
69	Unnao	37	1	36
70	Varanasi	11	0	11
	Total	1239	22	1217

Additional classrooms - The additional classrooms have been constructed in existing schools to meet the upsurge due to increased enrollment. The unit cost of each additional classroom was Rs.0.70 lac initially which was revised to Rs.0.91 lac during 2005-06 which was further revised due to earthquake resistance provision and size of the classroom. The revised unit cost is Rs.1.40 lac during 2006-07.

Toilets - The unit cost of construction of two-soak pit toilet (one for boys and one separate for girls) is Rs.0.13 lacs.

Out of 176296 additional classrooms, 137234 were complete by 31.03.07 and remaining are under construction. All 7338 toilets sanctioned under SSA have been completed.

District wise progress of construction of additional classrooms and toilets is given below.

S.N.	District	2001-02 to 2006-07 Additional Rooms			2001-02 to 2006-07 Toilets	
		Target	Under construction	Complete	Target	Complete
1.	Aligarh	2018	318	1700	330	330
2.	Agra	2999	1407	1592	54	54
3.	Allahabad	4286	347	3939	219	219
4.	Ambedkar Ngr	2659	1026	1633	29	29
5.	Auraiya	1767	133	1634	66	66
6.	Azamgarh	5443	2637	2806	30	30
7.	Badaun	3573	389	3184	184	184
8.	Baghpat	536	238	298	23	23
9.	Bahraich	3120	833	2287	100	100
10.	Balia	3274	971	2303	72	72
11.	Balrampur	2420	963	1457	131	131
12.	Banda	1544	148	1396	179	179
13.	Barabanki	3728	1030	2698	115	115
14.	Bareilly	3009	818	2191	223	223
15.	Basti	3337	797	2540	243	243
16.	Bhadoi	1381	532	849	332	332

17	Bijnor	3016	350	2666	20	20
18	Bulandshehar	2400	478	1922	40	40
19	Chandauli	2290	412	1878	47	47
20	Chitrakoot	1328	361	967	90	90
21	Deoria	2570	77	2493	90	90
22	Etah	3029	1230	1799	90	90
23	Etawah	1927	13	1914	90	90
24	Faizabad	2133	947	1186	100	100
25	Farukkhabad	2171	369	1802	24	24
26	Fatehpur	2703	2	2701	50	50
27	Firozabad	1632	611	1021	30	30
28	Gautam B. Ngr.	546	8	538	13	13
29	Ghaziabad	1960	361	1599	31	31
30	Ghazipur	3439	874	2565	48	48
31	Gonda	3759	1294	2465	290	290
32	Gorakhpur	3575	1566	2009	300	300
33	Hameerpur	703	18	685	17	17
34	Hardoi	4935	390	4545	309	309
35	Hathras	1253	21	1232	297	297
36	J.P. Nagar	1805	565	1240	120	120
37	Jalaun	1253	75	1178	33	33

38	Jaunpur	4247	926	3321	27	27
39	Jhansi	1717	386	1331	89	89
40	Kannauj	1534	207	1327	12	12
41	Kanpur Dehat	1588	39	1549	45	45
42	Kanpur Ngr.	3050	389	2661	325	325
43	Kaushambhi	1790	22	1768	62	62
44	Kushi Ngr.	3821	1522	2299	65	65
45	Lakhimpur Kheri	4135	697	3438	215	215
46	Lalitpur	1387	193	1194	15	15
47	Lucknow	2413	339	2074	507	507
48	Maharajganj	2561	788	1773	165	165
49	Mahoba	1374	260	1114	35	35
50	Mainpuri	1802	421	1381	34	34
51	Mathura	1714	415	1299	30	30
52	Mau	2472	609	1863	21	21
53	Meerut	1382	308	1074	120	120
54	Mirzapur	1997	597	1400	24	24
55	Moradabad	3872	2074	1798	185	185
56	Muzaffar Ngr.	1883	390	1493	99	99
57	Pilibhit	2107	140	1967	40	40
58	Pratapgarh	3884	1061	2823	32	32

59	Raibareilly	3365	881	2484	20	20
60	Rampur	2494	410	2084	25	25
61	Saharanpur	2169	69	2100	46	46
62	Sant Kabir Ngr.	1467	286	1181	0	0
63	Shahjahanpur	2785	629	2156	70	70
64	Shrawasti	1449	88	1361	35	35
65	Siddharth Ngr.	1995	422	1573	170	170
66	Sitapur	4002	500	3502	112	112
67	Sonbhadra	1419	111	1308	32	32
68	Sultanpur	4017	676	3341	53	53
69	Unnao	3804	185	3619	49	49
70	Varanasi	3079	0	2666	120	120
	Total	176296	38649	137234	7338	7338

Handpumps

A total of 9734 hand pumps were sanctioned under SSA.. In 2001-02 and 2002-03, unit cost of hand pump was Rs. 18000. During 2003-04 unit cost was only Rs. 15000 which has caused difficulty in implementation. In 2005-06 only 10% of unit cost of handpump was sanctioned and remaining amount was borne from Rajiv Gandhi Drinking Water Mission by UP Jal Nigam.

District wise progress as on 31.03.2007 is given below.

S.N.	District	Target	Under construction	Complete
1	Agra	237	219	18
2	Aligarh	229	11	218
3	Allahabad	536	313	223
4	Ambedkar Ngr.	83	17	66
5	Auraiyya	63	63	0
6	Azamgarh	132	132	0
7	Badaun	144	19	125
8	Baghpat	75	39	36
9	Bahraich	332	0	332
10	Ballia	231	0	231
11	Balrampur	60	35	25
12	Banda	198	88	110
13	Barabanki	40	0	40
14	Bareilly	200	140	60
15	Basti	152	20	132
16	Bhadohi	130	0	130
17	Bijnor	111	0	111
18	Chandauli	121	11	110
19	Chitrakoot	151	84	67

20	Deoria	108	63	45
21	Etah	177	33	144
22	Etawah	210	99	111
23	Faizabad	96	0	96
24	Farukhabad	39	9	30
25	Fatehpur	41	26	15
26	Firozabad	165	165	0
27	Gazipur	178	178	0
28	Gonda	311	0	311
29	Gorakhpur	180	15	165
30	Hameerpur	110	24	86
31	Hardoi	539	18	521
32	Hathras	12	5	7
33	J.P.Nagar	33	0	33
34	Jalaun	116	116	0
35	Jaunpur	299	299	0
36	Jhansi	96	30	66
37	Kannauj	51	51	0
38	Kanpur Ngr.	142	6	136
39	Kaushambhi	158	0	158

40	Kushinagar	119	9	110
41	Lakhirpur Khiri	183	183	0
42	Lalitpur	206	0	206
43	Lucknow	168	94	74
44	Maharajganj	121	0	121
45	Mahoba	160	66	94
46	Mainpuri	93	22	71
47	Mathura	214	72	142
48	Mau	216	216	0
49	Meerut	74	0	74
50	Mirzapur	150	33	117
51	Moradabad	124	0	124
52	Muzaffar Ngr.	119	0	119
53	Pratapgarh	41	41	0
54	Raibareilly	46	0	46
55	Rampur	41	0	41
56	Saharanpur	173	0	173
57	Sant Kabir Ngr.	71	16	55
58	Shahjahanpur	197	107	90
59	Shrawasti	112	59	53

60	Siddharth Ngr.	382	0	382
61	Sitapur	134	34	100
62	Sultanpur	43	0	43
63	Unnao	167	0	167
64	Varanasi	94	65	29
	Total	9734	3345	6389

Besides this, 2156 handpump were installed through convergence with UP Jal Nigam under Pradhan Mantri Drinking Water Yojna.

Block Resource Centres and Nyaya Panchayat Resource Centres-

12 BRCs buildings (10 in Kanpur Nagar and 2 in Hathras districts) sanctioned under SSA have been completed. Construction of 7 BRCs sanctioned during 2005-06 is in progress.

112 NPRCs buildings (97 for district Kanpur Nagar and 15 for Hathras) sanctioned under SSA have been completed.

Inclusive Education For Children with Special Needs

1. Identification of CWSN - Identification of target group is important part of IED programme. Identification of CWSN has been done through micro planning exercise and data about disabled children in school and out of school has been collected. During 2006-07, 295200 CWSN were identified through household survey conducted in August 06. Disability-wise breakup is as follows-

1.	Visual Impaired	15292
2.	Hearing Impaired	28683
3.	Orthopaedically Handicapped	143922
4.	Mentally Retarded	28802
5.	MD	5064
6.	Others	73437
	Total	295200

2. **Integration of CWSN-** During 'School Chalo Abhiyan' 2006-07 emphasis was given on enrollment of children with special needs. Children with mild & moderate disability are being integrated in nearby primary schools. 251536 CWSN have been integrated in primary schools. Disability-wise break-up is as follows—

1.	Visual Impaired	21151
2.	Hearing Impaired	36546
3.	Orthopaedically Handicapped	145045
4.	Mentally Retarded	29234
5.	MD	19560
	Total	251536

3. **Bridge Course -** Three months residential bridge courses for severe disabled

children were started. The main objective of these bridge courses is readiness for school. Main contents of these bridge courses are mobility training, braille reading and writing, social integration, (for Blind Children), speech therapy, language development, lip reading (for severe hearing impaired children). 68 Residential bridge camps for blind and severely hearing impaired children were conducted. 2502 CWSN were enrolled in 68 Bridge Course out of which 2095 CWSN have been integrated in schools class-wise details are as follows.

Level of achievement	No. of CWSN
Class 1	738
Class 2	581
Class 3	424
Class 4	217
Class 5	135
Total	2095

Itinerant teachers are providing special support to these children.



4. **Itinerant & Resource Teachers-** To provide support to CWSN in schools, for the first time 1016 Itinerant and 171 Resource Teachers were recruited trained and placed in districts.

Capacity Building

Two days orientation training of itinerant teachers was organised on 10-11 October 12-13 & 19-20 October 06 for HI & MR respectively. Main emphasis was given on various teaching technique, special T.L.M., class management, preparation of IEPs, parents counseling and monitoring. Three days training programme was organized for new selected District Co-ordinator (IED) on 16 -18 October, 06.

Following institutions were associated to provide expertise and specialized guidance in the orientation training of Itinerant teachers:-

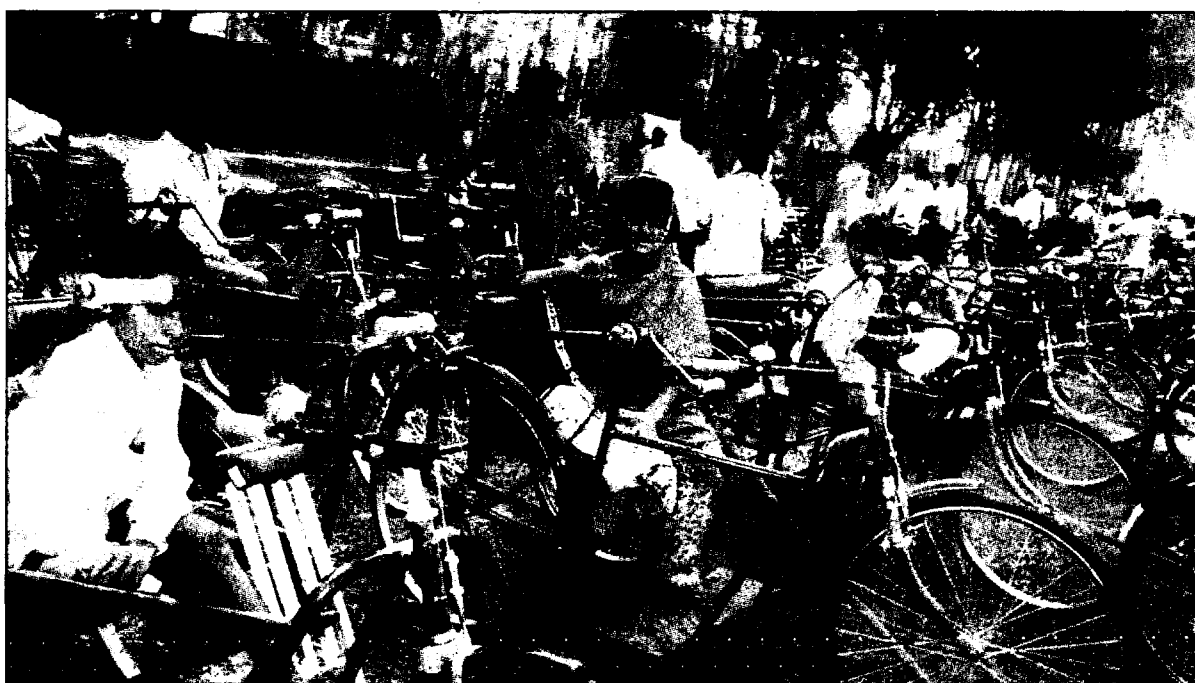
1. NIMH, Secunderabad
2. National association for blind, Lucknow
3. Institute for the Deaf, Lucknow
4. North regional center of NIHH, Delhi
5. JRH University, Chitrakoot
6. Sahara welfare Foundation, Lucknow
7. Health Family welfare, Lucknow
8. Speech Therapist, KGMU, Lucknow

5. **Medical Assessment camps** – 806 Medical Assessment Camps have been organized in districts. 61759 CWSN were assessed and disability certificates were provided to 23432 CWSN.

6. Procurement of Aids and appliances

1. ALIMCO Kanpur- During 2006-07 a detailed plan for camps with the help of ALIMCO was prepared. All measurement and distribution camps were organized from September 2006 - December 2006. 18088 aids and appliance were provided to CWSN.
2. Convergence- 7474 aids and appliances were provided in convergence with NGO & other Gov. Institutes.
3. Purchased- 12520 aids and appliances were purchased for bridge courses of severe CWSN.

Thus, total 38082 aids and appliances were distributed during year 2006-07.



7. **Teachers Training** – 8612 teachers of Primary schools/Upper Primary schools have been given 5 days orientation on IED by trained Master Trainers and Resource Persons.

8. ICDS - Workers Training - A one-day training to all ICDS workers was given in districts. Main emphasis of training is type of disability, causes and prevention strategies, child development. 2603 ICDS workers have been given training till March 07.

9. Parents' Counseling - Main purpose of parent counseling is awareness to guide, how to help and teach CWSN. 15-20 active parents of disabled children have been selected. IEP of CWSN has been prepared. According to IEP the parents are given counseling for the following-

- I. Current Status
- II. Maintenance and care of hearing aid/aids and appliances
- III. Teaching techniques
- IV. Steps for mobility training/ speech therapy.

Parent counseling will be organized once in a month for the same groups.

876 groups of parents were formed and 1723 camps of parent counseling have been organized. 15827 parents of CWSN have been given counseling.

10. Academic and Cultural Meet - For purpose of demonstrating the ability of CWSN, all districts have celebrated World Disable Day on 3rd December 2006. On this day the academic, cultural, sport/game and competitions have been organized. Large numbers of CWSN have participated.

11. Conversion of text book of class 1-8 in Braille & Printing- all textbooks of class 1-8 were converted & printed into Braille. Books were distributed to CWSN (VI)

12. Construction of ramps - For making barrier free school building, funds for construction of 25285 ramps in schools were released. 25086 ramps have been completed as on 31.03.07 and remaining are in progress. Till now 81584 ramp have been constructed cumulatively in primary and upper primary school.

Community Mobilization & Participation

For local ownership of SSA interventions, it is necessary to mobilise the community to participate in development/educational activities. Targeted activities for community mobilisation have been undertaken as follows:-

· Village Education Committee

In order to promote community participation in elementary education the VECs have been constituted by the State Govt. under the UP Panchayati Raj Act & in synchronisation with the Basic Shiksha Adhiniyam of UP. With delegation of powers to PRI's, the role of the VEC has been greatly enhanced. In the new setup of VEC, three guardians of school going children nominated by ABSA are included.

The VEC is playing a major role in bringing positive attitudinal change in people towards education and in mobilising the community for the following purposes:

- * to bring un-enrolled children into formal schools.
- * to retain children in schools, especially girls & children from disadvantaged groups.
- * to bring children with disabilities into the mainstream.
- * to encourage & support out of school children especially girls, working children, to join alternative schools.

For these activities VECs are involved in identifying accessible place for setting up schools, construction of schools & addl. classrooms, toilets, purchase

of materials for school infrastructure and engaging para teachers where they are needed and opening of EGS centres.

Under SSA, the VECs have been assigned the responsibility of school construction, purchase of material, maintenance and repair of school buildings, mobilisation and environment building activities, taking special measures for education of children of deprived sections, ensuring access and retention, supervising schools & alternative schools, EGS centres for effective functioning, conducting micro level planning and developing village education plans, implementing, monitoring plan activities and ensuring convergence of services and inputs for primary education.

A training manual and a hand book for the members of VECs was developed to build an information base and understanding with VECs on enrolment, retention, mobilising community, girls education, microplanning, school mapping, school improvement plans etc. Training of all VECs has been conducted during 2006-07.

Promoting Girls' Education

The question of girl's education is central to SSA in Uttar Pradesh. The need to emphasise strategies and interventions for girl's education by addressing gender concerns at all levels of programme implementation has been recognised as critical by these programmes. Strategies are as follows-

1. Make the educational system more supportive to the needs of girls.
2. Create an environment, which enables women to demand education for themselves and their daughters.
3. Gender perspective as an integral part of the project from preparation to implementation.
4. Integration of the gender perspective in planning.

5. Constitution of Gender & ECE resource group with extensive networking to guide the interventions of the project.
6. Gender related activities clearly articulated in Annual Work Plans of Districts.
7. Specific interventions in selected backward clusters.
8. Gender sensitization programmes for management and grassroot level functionaries.

In view of the low rate of participation and completion by girls in the elementary schooling cycle, a variety of interventions have been undertaken in SSA to provide access for schools to all girls, make schooling more attractive to girls, remove obstacles to girls participation and remove gender bias in teaching learning materials as well as in classroom situations.



● **ECCE**

Convergence with ICDS department has been done for strengthening of 9208 Anganwadi Centres in districts.

District-wise details are given below :

Sl.No.	District	Total Centers
1.	Saharanpur	187
2.	Varanasi	110
3.	Hathras	75
4.	Bhadohi	170
5.	Bandā	170
6.	Kanpur Ngr.	170
7.	Etawah	110
8.	Auriya	70
9.	Allahabad	203
10.	Kaushambhi	0
11.	Chitrakoot	180
12.	Badaun	220
13.	Deoria	220
14.	Pilibheet	220
15.	Shahjahanpur	203
16.	Basti	36
17.	Sant Kabir Nagar	178
18.	Gonda	178
19.	Bareilly	230
20.	Moradabad	195
21.	Lakhimpur Kheri	211

22.	Lalitpur	150
23.	Firozabad	224
24.	Maharajganj	211
25.	Sidharthnagar	220
26.	Hardoi	200
27.	Sonbhadra	230
28.	Balrampur	172
29.	Sitapur	170
30.	Pratapgarh	140
31.	Raibareilly	50
32.	Gorakhpur	170
33.	Jaunpur	150
34.	Aligarh	104
35.	Fatehpur	150
36.	Barabanki	170
37.	Ghaziabad	15
38.	Hameerpur	150
39.	Mahoba	170
40.	Mainpuri	81
41.	Mathura	170
42.	Meerut	129
43.	Jhansi	150
44.	Farukhabad	160
45.	Sultanpur	170

46.	Bahraich	75
47.	Shrawasti	75
48.	Rampur	170
49.	Etah	150
50.	Jalaun	75
51.	Kanpur Dehat	170
52.	Chandauli	70
53.	Bulandshahar	145
54.	Agra	75
55.	Ghazipur	125
56.	Bijnor	170
57.	Kushinagar	81
58.	Kannauj	170
59.	Balia	125
60.	Lucknow	170
61.	Mau	170
62.	Muzaffarnagar	150
	Total	9208

Meena Manch - "Meena" symbol of the girl child and the spokesperson for girls education and girls rights developed by 'UNICEF', has been launched in a big way in the State. Concept of organizing girls into clubs called "Meena Manch" has been operational. Meena Manches have been formed with following objectives:

- To provide a platform for self-expression by the girl child.

- To develop a spirit of leadership & co-operation.
- Resolution of adolescent doubts & queries.
- To inculcate reading habits & develop creativity.
- Life skills development.
- Awareness generation regarding women's rights & opposition of child marriage.
- To encourage saving habits.
- v With these objectives 24771 Meena Manch have been formed in upper primary school level.
- v To strengthen Meena Manches "Meena kit" (Meena story book's, meena Flip books and activity sheets provided to all Meena Manches
- v Master trainers have been trained at state level to provide training to the facilitators.
- v 19005 teachers have been trained as a Meena facilitator.

What does Meena do

- Ø Meeting on every Saturday with following-
 - o Reading Meena stories and discussions
 - o Monitoring of attendance of girls
 - o Meena news letters
 - o Participation in school chalo abhiyan
 - o Story writing
 - o Beautification of school
 - o Telling the stories
 - o Management of school functions

- o Contacting guardians of children not going to schools
- o Managing school library
- o Managing sports activities.

Glimpses of activities of Meena Manch

- Girls of Meena Manch led the school visit of World Bank President in Lalpur school in Ghaziabad district.
- Meena Manch could stop child marriage of one of the members of Bethar village of Unnao district.
- 29028 out of school girls were enrolled with the effort of Meena Manch
- Tree plantation on the World Environment Day in Sadullanpur district Barabanki
- In charge of polio booth in many districts
- 51488 meetings have been organized by Meena Manch.

National Programme of Education for Girls at Elementary Level

As an additional support for education of under privileged/disadvantaged girls, National Programme for Education of Girls at Elementary Level (NPEGEL) has been implemented under SSA.

Objectives

- Develop and promote facilities to provide access and enhanced participation of girls.
- Facilitate retention of girls
- Improve the relevance and quality of education through various interventions.
- Facilitate an empowering educational process for girls.

Scope

- Educationally backward blocks (EBB) with female literacy below national average and gender gap above national average.
- Block with at least 5% SC/ST population and SC/ST female literacy rate below 10%.
- Notified Urban Slums.

Components of NPEGEL

- One model cluster school for each cluster of 5-10 villages
- Existing school in areas with density of SC/ST, OBC and minority girls.
- Accessibility to all schools in the cluster to enable utilization of all facilities.
- Selection of 8413 schools as model cluster schools in 2006-07.

Major interventions undertaken in these villages are given below-

1. Provision of wooden chauki

To facilitate writing, wooden chaukis provided to 8392 model cluster girls of primary schools in the year of 2005-06. .

2. Library Establishment

To develop reading skills among girls 7967 libraries have been established in collaboration with National Book Trust of India through organizing district level Book Fair in all district. Library Books were purchased by concerning VEC.

3. Cycle, swings

- To develop mobility skills and self confidence in girls cycles have been provided to each upper primary school.

- Four set of swings have been established in each cluster school for joyful environment.

4. Free Uniform Distribution

The scheme provides free uniform to primary school girls in selected blocks. 87.21 lacs girls benefited in 2006-07.

5. Construction of Additional Classrooms

The construction of additional classroom will be carried out by VEC. Infrastructure development will be used for additions to schools, residential facilities, girls toilets, water supply, electrification and barrier free features etc.. In the year of 2004-05, 811 additional classroom have been constructed. In 2005-06, 2699 Additional classrooms have been constructed and in 2006-07, 1317 ACR constructed and 1522 rooms are in progress.

6. Remedial teaching

To upgrade achievement level of the girls having low achievement level, Remedial classes have been organized in 313 model cluster schools and 12388 girls benefited in 2006-07 .

7. Award to school

Award to best school of the cluster given to 5528 schools in the year of 2006-07.

TEACHERS AND SHIKSHA MITRAS

Till 31.03.2007, 17437 posts of primary teachers and 48873 posts of upper primary school teachers have been created and all have been filled up.

District-wise details are given below-

S.No	District	Primary schools	Upper primary schools
1.	Agra	195	1083
2.	Aligarh	259	678
3.	Hathras	214	393
4.	Allahabad	868	1314
5.	Kaushambi	509	696
6.	Azamgrah	273	1263
7.	Badaun	336	882
8.	Baghpat	19	255
9.	Bahraich	397	1038
10.	Srawasti	150	624
11.	Ballia	247	852
12.	Balrampur	121	846
13.	Banda	546	612
14.	Barabanki	192	879
15.	Bareilly	277	582
16.	Basti	177	942
17.	Sant Kabir Nagar	118	354
18.	Bhadohi	478	522
19.	Bijnaur	79	822
20.	Bulandsahar	122	1122
21.	Chitrakoot	500	453
22.	Deoria	127	678

23.	Etah	201	483
24.	Etawah	475	645
25.	Auraiya	330	672
26.	Faizabad	170	543
27.	Ambedkar Nagar	122	687
28.	Farrukhabad	173	729
29.	Fathepur	170	669
30.	Firozabad	274	642
31.	Ghazipur	157	858
32.	Ghaziabad	16	315
33.	G.B. Nagar	76	378
34.	Gonda	154	834
35.	Gorakhpur	503	855
36.	Hamirpur	59	429
37.	Hardoi	253	591
38.	Jalaun	86	792
39.	Jaunpur	152	987
40.	Jhanshi	109	669
41.	Kannuj	180	663
42.	Kanpur Dehat	304	810
43.	Kanpur Nagar	233	681
44.	Kushi Nagar	313	1047
45.	Khiri	341	969

46.	Lalitpur	132	615
47.	Lucknow	1589	597
48.	Maharaj Ganj	148	678
49.	Mahoba	43	531
50.	Mainpuri	192	504
51.	Mathura	115	693
52.	Mau	71	648
53.	Merrut	11	216
54.	Mirzapur	157	636
55.	Moradabad	192	885
56.	J.P. Nagar	139	606
57.	Muzaffar Nagar	10	615
58.	Pilibhit	69	405
59.	Pratapgarh	151	531
60.	Raibareilly	200	627
61.	Rampur	139	516
62.	Saharanpur	398	888
63.	Shahjahanpur	138	810
64.	Siddharth Nagar	270	1092
65.	Sitapur	573	1143
66.	Sonbhadra	164	714
67.	Sultanpur	87	489
68.	Unnao	228	705
69.	Varanasi	1033	405
70.	Chandauli	133	486
	Total	17437	48873

Shiksha Mitras are contracted by the VEC for one academic session and if their work and conduct is found satisfactory, their services can be extended for the next session also. Shiksha Mitras were paid Rs.2400/- as fixed honorarium per month during 2006-07. If work and conduct of a Shiksha Mitra is not found satisfactory, he or she can be removed by the VEC by a resolution passed by two third majority. Prior to being positioned in the local school, the Shiksha Mitra is given one month induction training by DIETs. In case of renewal of contract the Shiksha Mitra is given 15 day refresher training. Shiksha Mitras receive academic support from the BRC & NPRC staff as well as DIET all round the academic year.

Shiksha Mitras have been recruited in new schools, single teacher schools and schools with adverse teacher-pupil ratio.

Till 31.03.07, total 167273 Shiksha Mitras were sanctioned, out of which 158180 have been recruited and remaining are under process of selection.

District wise details are given below.

S.No	District	Target	Filled
1.	Agra	3256	3084
2.	Aligarh	2948	2915
3.	Allahabad	2366	2126
4.	Auraiyya	1694	1673
5.	Azamgrah	3633	3455
6.	Ambedkar Ngr.	2260	2235
7.	Badaun	3432	3414
8.	Baghpat	508	493

9.	Bahraich	3302	3165
10.	Ballia	3329	2266
11.	Balrampur	1438	1346
12.	Banda	1914	1867
13.	Barabanki	3286	3280
14.	Bareilly	3654	3650
15.	Basti	2838	2605
16.	Bhadohi	789	704
17.	Bijnaur	3135	3030
18.	Bulandsahar	2986	2975
19.	Chitrakoot	1341	1309
20.	Chandauli	1418	1257
21.	Deoria	3241	3193
22.	Etah	3318	2634
23.	Etawah	1490	1473
24.	Faizabad	1628	1562
25.	Farrukhabad	2079	1976
26.	Fathepur	3075	3022
27.	Firozabad	2456	1926
28.	Gautambudh Ngr.	835	786
29.	Ghazipur	3177	2997

30.	Ghaziabad	1373	1241
31.	Gonda	3245	3030
32.	Gorakhpur	2254	2063
33.	Hamirpur	1268	1130
34.	Hardoi	4536	4517
35.	Hathras	1621	1608
36.	Jalaun	1116	1048
37.	Jaunpur	4072	3903
38.	Jhanshi	1894	1806
39.	J.P Nagar	1700	1553
40.	Kannuj	1824	1807
41.	Kanpur Dehat	2305	2301
42.	Kanpur Nagar	2856	2713
43.	Kaushambhi	1231	1094
44.	Kushi Nagar	2674	2567
45.	Lakhimpur Khiri	3494	3360
46.	Lalitpur	1481	1476
47.	Lucknow	2463	2321
48.	Maharaj Ganj	1945	1880
49.	Mahoba	978	781
50.	Mainpuri	2490	2281

51.	Mathura	2194	2111
52.	Mau	1861	1818
53.	Meerut	1251	954
54.	Mirzapur	2667	2547
55.	Moradabad	3497	3372
56.	Muzaffar Nagar	2620	2611
57.	Pilibhit	1842	1797
58.	Pratapgarh	2799	2636
59.	Raibareilly	2981	2897
60.	Rampur	2268	2132
61.	Saharanpur	2330	2095
62.	Sant Kabir Ngr.	1419	1278
63.	Shahjahanpur	3751	3696
64.	Siddharth Nagar	2527	2442
65.	Sitapur	3295	3180
66.	Sonbhadra	2510	2434
67.	Shrawasti	1322	1282
68.	Sultanpur	3411	3301
69.	Unnao	3386	3267
70.	Varanasi	1696	1432
	Total	167273	158180

COMPUTER AIDED EDUCATION

A State Level Computer Education Committee has been constituted under chairpersonship of the Secretary (Education) to steer the programme. Meetings of the Committee were held in which specifications of computer hardware have been finalized.

Computer hardware procurement is complete in selected schools in all the districts. Curriculum based software procured from Head start programme of Madhya Pradesh Govt. has been made available to the schools covered under the programme. Per school two teachers are being trained.

During the implementation of CAL it was felt that because of poor power supply the optimum use of computers was not possible. To overcome the problem of poor power supply in schools, a pilot project of 'Solar Panel operated computers' was started in 10 schools of Kheri district in convergence with NEDA (Non Conventional Energy Development Authority). The project was found very successful.

CHAPTER - 5

IMPROVING QUALITY OF EDUCATION

Improving the quality of elementary education in SSA districts, facilitating completion of the primary and upper primary education and achievement of minimum level of learning are the key components of the project. To improve the quality of primary and upper primary education, it is of utmost importance to bring about pedagogical improvements in present primary and upper primary education.

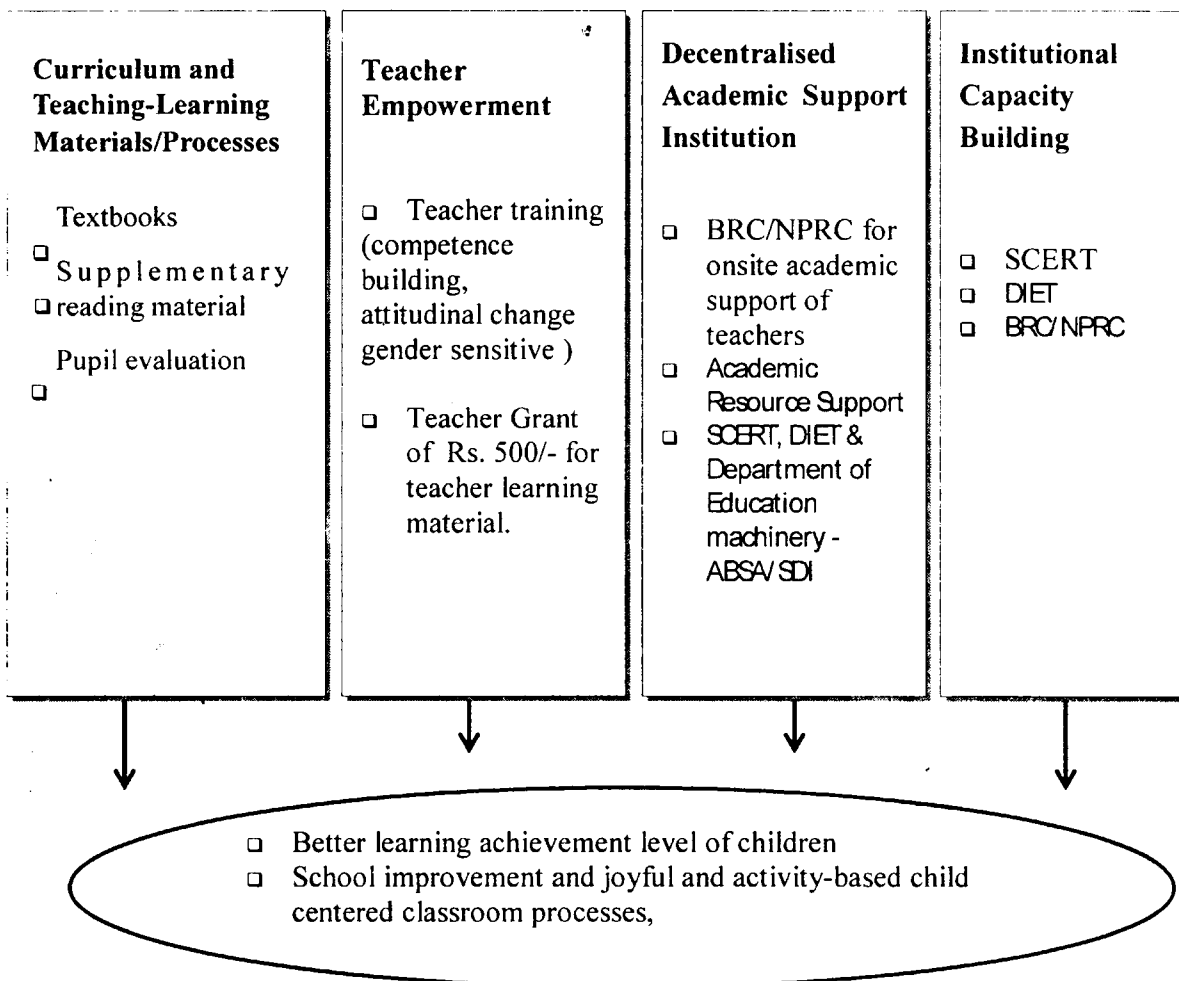
AREAS OF PEDAGOGICAL IMPROVEMENT

Pedagogical renewal which includes enhancing teacher motivation and competence is the key to qualitative improvement. SSA strives to link pedagogical necessities with broader educational concerns and the ultimate objective of improving the school processes and classroom practices. To build up an environment in schools conducive to learning by all children a coordinated Plan of Action was developed to cover the following key areas:-

- Competency based teaching / learning practices.
- Improving curriculum, textbooks and supplementary materials.
- Changing teaching styles and practices.
- Enhancing teacher motivation and competence.

- Strengthening academic support to teachers.
- Supporting educational evaluation at district & school levels.
- Developing strategies for multigrade schools.
- Promoting educational research.
- Promoting joyful, child-centred and activity based learning.
- Providing education to special focus groups.

ROUTE TO QUALITY IMPROVEMENT



Teacher Training Programmes in 2006-07**EMIS Training:**

To update BRC/NPRC Coordinators and head teachers of primary and upper primary schools about the monitoring and information system and its requirements, one day training was planned on EMIS. 133132 personnel were trained in the year 2006-07.

Training on Earth Quake Resistant Measures in School Buildings:

From this year earthquake resistant measures were introduced in the new school buildings and additional classrooms. Designs were modified and people at various levels including construction workers were trained according to new guidelines. Master trainers were trained by Orrisa Development Technocraft Forum, a Bhubaneswar based agency. A total of 69214 teachers and construction workers were given training on earthquake resistant measures.

**Cluster Level Training**

Cluster level trainings were organized for subject specific weak sections,

effective use of teacher guides for making lesson plans and use of workbooks in the classroom. Monthly cluster level trainings have been regularly organized for head teachers and teachers. In these trainings NPRCC or any other resource teacher actually demonstrates the use of teacher guides and workbooks. With help of teacher guide the resource teacher prepares lesson plans and imparts training to other teachers. 1,11,635 teachers of primary schools and 46,820 teachers of upper primary schools were given training in 2006-07.

Leadership training of head teachers of primary schools

Head teacher significantly influences the growth & teaching learning activities of the school. In 2006-07 another module for head teacher training was developed named 'PAHAL'. Training on leadership and managerial skills for organizing and coordinating various school activities, managing teaching learning environment in schools and guiding and supporting teachers was given to the head teachers of primary and upper primary schools on 'PAHAL' module which was developed with the assistance from SIEMAT, Allahabad. State level master trainers training on PAHAL was organized at Lucknow wherein 4 master trainers from each district were trained. The participants included one member from DIET, one District Coordinator - Training and two head masters, one each from primary and upper primary schools. All the BRC/NPRC coordinators and 69361 head teachers have been trained on PAHAL in 2006-07.

Training on Reading skill

Training was also given to teachers on 'PATHAN KSHAMATA VIKAS' module to enhance reading and comprehending skills and to develop reading habits of children. The module was developed by State Institute of Education, Allahabad. The teachers have become well versed in teaching skills but still there is gap found in imparting reading skills. Therefore to make the teachers oriented towards techniques of reading skill-pronunciation, modulation, reading with

understanding and finally how to develop reading habits of children, training of teachers on reading skills was conducted. In 2006-07, 187733 teachers have been trained.

Need based training

Decentralized trainings are effective tools for addressing local specific teaching-learning needs. Therefore, decentralized training concept was conceived, which is now at the core of all teacher-training programmes. During 2005-06, newly promoted teachers of upper primary schools were given training in science and mathematics on contents as well as teaching techniques. Besides, teachers of primary schools were given training on hard spots in Sanskrit and English.

To conduct decentralized need based training at primary level, DIETs with the help of BRC and NPRC coordinators identify teacher-training needs, and develop training package on content, methodology & duration of the training at local level addressing local needs. During 2005-06, a total of 1,43,130 primary teachers and 38,550 upper Primary teachers training completed. In 2006-07, 578664 teachers of primary and upper primary schools have been trained.

Improving Achievement Level of Students

Classroom Activities

For effective transaction in the classroom, emphasis is given on preparing lesson plans with the help of teacher guides. Presentation of lesson by the teacher in the whole group; discussion and group exercise in small groups; giving opportunity to children for oral and written practice; use of green board and black board by children; and sharing of written work of children among peers and feedback on that are necessary interactive teaching learning activities in the class. Development of appropriate TLM, learning corners, children's corner add to the quality of these activities and eventually learning capacity of children.



Free textbooks are being distributed to all girls and SC/ST boys in primary and upper primary schools to offset the cost of poverty and to provide incentives to send girls to school. Textbooks have also been developed in Urdu and Braille. Work books for Hindi and Mathematics have been developed and distributed for all the children of classes 1 and 2. These are being used by children in all the schools. Work books of Mathematics and English for classes 3 to 5 have been developed and will be introduced in the next academic year.

School Activities

Morning assembly is being effectively used as a platform for educational activities. By including news reading, PT, poem recitation, quiz, speech by both students and invited guests on specific occasions, the morning assembly has been developed as an event enjoyable by the children. By involving parents in the morning assembly and giving recognition to talented children, the community involvement and ownership is also being increased.

Other school activities include co-curricular activities like quizzes and various competitions, debate, organizing events on important days, Sports and Games day, Annual Function, Bal Sárkar and Bal Sansad activities, Meena Manch etc. these are the activities where involvement of community is ensured.

Unit Test and School Grading

In addition to half yearly and annual examinations, three unit tests in the months of September, November and February have been introduced in each class to assess progressive improvement in children's learning levels. These unit tests are designed to assess the learning level of children in the chapters taught during the period. 25% marks of these three unit tests are to be added in the final result of the student.



Following the unit tests, school grading is being done on certain fixed parameters. In this new grading system, introduced in the year 2006-07, wide GO letter no. 489(1)/79-5-06-346/2001 TC dated 17th May 2006, the learning level of children account for 55 marks and teaching learning process account for 20 marks, thus making a total of 75 marks for overall teaching learning environment

in a school.

On the basis of marks obtained in the grading, the schools are graded A, B, C and D for the reporting quarter. Those with 75 to 100 marks are graded as A category schools; those with 60 to 74 marks are B category schools; those with 50 to 59 marks fall in C category and those with 35 to 49 marks are called D category schools.

The first unit tests were held in all schools in the month of September, 06 and the third in February 2007. Based on the results of unit tests the grading of schools was done by BRC/NPRC coordinators in the month of October, 06 and March 07 respectively.

Following is the comparison of the number of schools in various categories in the first and the third quarter:

Quarter	Category	A	B	C	D	Below D	Total
First Quarter	No. of Primary Schools	5924	42277	32790	10455	631	92077
	No. of Upper primary schools	2137	12377	8734	3141	347	26736
Third Quarter	No. of Primary Schools	8814	63834	19020	2452	628	94748
	No. of Upper primary schools	3332	18142	4878	764	782	27898

School Development Plan

The grade obtained by a school in a particular quarter gives the school an opportunity to appraise itself, analyze its strength and weaknesses, develop an

improvement plan for the next quarter and monitor its progress in the successive quarters. On the basis of grading format, the schools develop their school improvement/development plan with the help of DIET mentors and District & BRC Coordinators, and work on that to improve the grading in the next quarter.

SAMRIDDH-Module for BRC/NPRC

The module has been developed by SIEMAT, Allahabad to build capacity of BRCC/NPRCC for upper primary level work. 6 days training programme has been kept for this. The main points of this module were provided to BRCC/NPRCC in the month of June 06 so that they can provide better support to upper primary schools during the session 06-07.

BRC Training

To make reactivate the BRC coordinators, for the first time State level 2-days workshop was organized in batches from 01.06.06 to 29.06.06 for the direct dialogue with BRC. The following issues were included:

- Presentation discussion about SSA
- Presentation of 'Samriddh' module for BRC coordinators.
- Financial Management and Planning
- Alternative Education/ Literacy
- School Grading
- Mid-Day-Meal
- Repetition
- Presentation of Quality Monitoring Tools and filling of SLF-I, II, III formats.

878 participants were trained in 12 batches during 01.06.06-29.06.06.

Thereafter, to increase capacity of NPRC, 3 days workshop was organized at DIET level.

Quality Monitoring Tools

For periodic monitoring and regular feedback at elementary levels within and outside the classrooms, NCERT has developed Quality Monitoring Formats on the basis of certain quality improvement indicators. These are being administered on a quarterly basis. There are 5 sets of formats at school, cluster, block, district and state level enabling review of progress at each level. These include improvement in attendance rates, learning assessment and qualitative analysis at all the 5 levels.

There are total 18 formats at 5 levels which are as below -

2 record formats at -

School

NPRC

13 reporting formats at -

School - 2 formats

BRC - 3 formats

NPRC - 2 formats

District - 3 formats

State - 3 formats

3 Analysis Formats at:

NPRC

BRC

Districts

District level formats - DLF I – enrollment and attendance; DLF II – district data; DLF III – learners' achievement and DLAS – analysis sheet were received, and analyzed at the state. Major observations of the analysis are:

- A significant improvement is observed in the achievement level of children in all the classes and categories throughout the three unit tests.
- The total number of children scoring D and E grades has fallen down by 3-5 % from that in the first-quarter
- There is a significant gap in the no. of girls enrolled in the primary classes and in the upper primary levels
- More than 26% children scored D and E grades
- 27-33% of SC students scored D and E grades
- Almost 50% CWSN children fall in D and E categories
- Need identification for teacher training is still a problem area

Projects on Learning Enhancement

CARE Project:

SSA is supporting CARE India in implementation of learning enhancement project in 5 districts. CARE will develop model cluster schools in these districts. The objective is to make children able to learn basic skills in reading, writing and arithmetic and attain minimum learning level.

PRATHAM Project

In 20 selected districts of the state a project for learning enhancement for children of classes 1 and 2 was implemented during 2006-07 based on the NAI DISHA module. With the help of PRATHAM, an NGO, all the Para teachers were trained to teach Hindi and Mathematics with the help of specially designed and prepared TLM for classes 1 and 2 so that within a specified time period, all the

children would be able to learn basic skills in reading, writing and arithmetic and would attain minimum learning level. The programme was found successful in enhancing the learning levels of children.

**UNICEF'S Initiative:**

With the help of UNICEF, Divisional Quality Coordinators are placed in all the divisions at the office of Assistant Directors, Basic Education. Two Quality Coordinators are also placed with State Project Office. These Coordinators support field staff in ensuring quality parameters in teaching learning processes.

Based on the successful experience from Lalitpur project of providing quality package to schools, all the divisions will develop one model block in a district selected in consultation with SCERT and district officials. To replicate Lalitpur quality package in these blocks, training of master trainers is complete for first phase of implementation i.e. preparation of classroom and school environment, and strengthening of VEC, MTA/PTA and Bal Sansad in all the schools of identified blocks.

CHAPTER - 6

BUILDING INSTITUTIONAL CAPACITY

To improve the quality of elementary education, it is of utmost importance to bring about pedagogical improvement in the present system of elementary education by facilitating better learning achievement through improved quality of education and by building institutional capacity of academic support system at state, district, block and nyaya panchayat level.

STATE COUNCIL OF EDUCATIONAL RESEARCH AND TRAINING (SCERT)

State Council of Educational Research and Training, U.P. is a well established institution having various departments specializing in different areas of education and pedagogy. Under the SSA, SCERT initiates and sustains a variety of interventions to improve the quality of elementary education in the state.

Quality issues being of prime concern in the programme, SCERT extends its support and provides academic guidance and training to SSA districts in the area of pedagogy.

The initiative taken for the pedagogical improvement by SCERT includes :

- Baseline Learner Achievement study of Kanpur Nagar at primary level.
- Development of self-learning material for multi-grade teaching.
- Strengthening of evaluation system.
- Teacher training.

- Review/revision of curriculum & textbooks development.
- Development of training packages and teaching-learning materials.
- Teacher support material i.e. teachers' handbooks guides and manuals.
- Capacity building in Action Research and evaluation/ assessment studies.
- Capacity building at different levels - development of training packages for teachers, para-teachers, AS and ECCE functionaries.

SCERT along with SRG (pedagogy) developed new textbooks for classes I-V under DPEP which were introduced statewide. Along with this, SCERT also provided guidance to SPO in redesigning the curricula for classes 6-8 so as to render it psychologically meaningful and pedagogically sound. The revised curriculum is 'learner-centered' and learners 'need-based'. The new curriculum package has laid stress on the formulation of society relevant and minimum level of learning (MLL) based objectives for upper primary schools consisting of classes 6-8. The package identifies linkages with the lower Primary level (classes 1-5) on one hand and the Secondary Level (classes 9-10) on the other. Based on revised curriculum, revised textbooks have been introduced at upper primary level.

SCERT along with SRG (pedagogy) has developed subject-wise teacher guides from class 1-8 and subject wise training package for in-service training of teachers on content based aspects.

DEVELOPMENT OF TRAINING MODULES

The SCERT has developed training module for "Shiksha Mitra" and another for Acharyaji of EGS. One month induction training programme conducted by DIET is residential in nature. The master trainers from each DIET are identified and given training before the commencement of the training programme for

'Shiksha Mitra' and 'Acharyaji'. A 15 day refresher training is also provided to Shiksha Mitra and Acharya ji on the basis of the training module.

STATE INSTITUTE OF EDUCATIONAL MANAGEMENT AND TRAINING (SIEMAT)

SIEMAT was established under UP Basic Education Project and has been strengthened under UP DPEP. It is fully functional.

SIEMAT plays a key role in building capacity of educational planners and administrators. It provides guidance and training in the area of educational planning and management. Besides, SIEMAT undertakes a large number of action research, studies and researches.

- **Networking with Grass root Institutions-** SIEMAT extends its helping hand to all district/block level institutions. Selected DIETs are encouraged and helped to develop expertise in certain training areas. Various SIEMAT publications are regularly sent up to block level.
- **Training-** SIEMAT conducts training programmes for BSAs/ABSAs with the focus on effective supervision, preparation of Annual Work Plan & Budget and use of EMIS data and community mobilisation for school improvement.

SIEMAT also organises training programmes for district coordinators on gender issues, action research, school management, school mapping, research methodology, financial management and preparation of AWPB for DPO staff.

- **Seminars/Workshops-** SIEMAT organises seminars/workshops on thematic issues eg. management of integrated teaching for children with special needs, school management programme and community participation, identification of research areas, research methodology,

leadership and school management, education of handicapped children, etc.

- **Statistics and Monitoring-** SIEMAT undertakes capacity building of educational functionaries at district and sub-district levels to manage and utilize the school statistics management system. The Institute carries out analysis of EMIS data each year and generates various indicators to be monitored and followed up. Data dissemination workshops were organized during 2006-07 for all districts.
- **Documentation and Dissemination-** To document and disseminate information about successful experiments in the areas of educational planning and management the Institute publishes the SIEMAT NEWS, a colourful informative quarterly newsletter giving details of SIEMATs various initiatives and activities and ABHINAV, another quarterly educational management magazine in which articles related to grass root problems of planning & management in the field of education are published. Abhinav is distributed to each DIET & BRC.

SIEMAT, also publishes a series of handbooks, namely :-

- 1) A Handbook on coordination of Educational Administration from district to block level, with special reference to Deputy BSA's role
- 2) A Handbook on office management with special reference to educational institutions
- 3) A Handbook on educational management containing material on Institutional Management & Resource management.
- 4) A Handbook on institutional planning containing material on vision, planning executing and control.

➤ Networking -

- SIEMAT has close ties with International Institute of Educational Planning UNESCO (Paris) and Korean Educational Development Institute, Seoul, South Korea for exchange of information.
- NIEPA provides training and research guidance to SIEMAT staff.
- National Administrative Training Institute and its National Research & Resource Centre (NRRC) has twinning arrangements for extending resource persons and training material support for training.
- Some other institutes with which the SIEMAT has developed working relationship are NCERT, NIEPA, IIM (Lucknow), Central/State Universities, UNICEF (Lucknow) and SCERT.

In fact SIEMAT has grown as regional resource centre having a nation wide character.

RESEARCHES AND STUDIES

During 2005-06 research studies have been conducted on the following 12 subjects through the SIEMAT, Allahabad and these studies have been completed.

1. Pre-post evaluation of remedial teaching in primary schools of Uttar Pradesh.
2. Total Cohort Study of each district of Uttar Pradesh.
3. To study Opportunities Available to Children to conduct Practicals and Science Based Experiments in Science Subjects in Upper Primary Schools.
4. Effect of Maths and Science Training in Upgrading Teaching Learning Process at Upper Primary Level.
5. Utilizations of Question Bank Papers in Social Studies given in Upper Primary Teacher Guides.
6. Effect of English Training in Creating the Positive Environment for

English Teaching in the Classroom at Primary Level.

7. Extent and Reason for not mainstreaming of children from Alternative Schools.
8. Effect of Mid-Day-Meal Scheme on Enrolment and Attendance of Primary School Children.
9. Impact of Corrective Surgery in Respect of Mobility, Organized by Viklang Kalyan Department under SSA.
10. Impact of IED Training in Attitude and Behavior of Teacher towards Children with Special Needs.
11. An Evaluation of ICDS Centers in SSA Districts of U.P.
12. Ascertaining the availability and use of Sanitation and hygiene Facilities (toilets and hand washing) in schools.

During 2006-07 two research studies were conducted through SIEMAT. These studies have been completed.

1. Evaluation of Remedial teaching conducted for class II students during 16-31 August 2006.
2. Assessment of functioning and effectiveness of KGBVs established in 2005-06.

STATE INSTITUTE OF EDUCATIONAL TECHNOLOGY

Capacity within the State, particularly in the SIET, is being developed in the area of designing, developing and producing audio-video materials focussing on teacher education & important issues in the overall pedagogical renewal programme. A Resource Group on training and text books comprising of teachers educationists, writers, artists, is assisting them. The SRG & District education experts oversee development of materials by SIET and other identified agencies and assist in establishing district level production teams.

SIET also documents field tested and pragmatic classroom practices to serve as additional academic inputs for the teachers.

Besides, SIET also conducts teleconferencing for teacher trainers for their

immediate redressal in the difficult areas in mathematics.

DISTRICT INSTITUTE FOR EDUCATION & TRAINING

DIET at district level serves as nodal institution in improving the quality of elementary education. The DIET provides academic guidance and professional support through in-service and pre-service training. It also serves as an apex institution for innovative interventions, action research and evaluation studies. DIETs in all 70 districts are functional.

BLOCK RESOURCE CENTRE

The BRCs have been established at the block level and they function under the guidance & supervision of DIETs. BRC serves as professional support agency to provide facilities for decentralized training and teacher support activities. It also provides support to teachers in terms of school visits, demonstration and feedback, TLM preparation, discussion of specific problems at monthly meetings etc.

The Block Resource Group comprises of a BRC coordinator and 2 Assistant Coordinators. All BRCs are functional. The Master trainers regularly attend BRC level trainings and provide overall guidance and supervision.

The capacity building of the BRC coordinator is done through the SCERT and the DIET in so far as the academic aspects are concerned while some management aspects and action research capabilities are handled by the SIEMAT.

During 2006-07, capacity building of BRC coordinators was done with the objective of undertaking grading of schools on new parameters prescribed by the State Government. As a result, the BRC coordinators undertook the grading of schools in 2006-07.

The BRC coordinator sends the monthly report on the functioning of the BRC to the concerned DIET. The DIET on its part conducts a monthly review meeting of all BRC coordinators and assesses their performance on the basis of specific indicators. One DIET faculty has been nominated as a mentor for each BRC to supervise, support and guide the functioning of BRCs.

Apart from conducting regular training programmes at the BRCs and providing continuous support to teachers in the area, the BRCs also arrange periodic programme and events for schools.

Each BRC prepares a calendar of activities in consultation with DIET staff and the NPRCs of the area. The calendar enumerate details of monthly meeting, competitions, etc. to be taken up in the project district.

Role of BRC

Academic	Planning, organising coordination and administration	Monitoring and follow-up
<ul style="list-style-type: none"> ● Building BRC into a resource centre where books, discussion papers, etc. are available. ● Training. ● Materials. ● Visit to schools, AS and ECCE Centres and monthly meetings (observation and feedback) ● Unit tests of children and grading of schools 	<ul style="list-style-type: none"> ● Planning and organising training programmes, workshops, review meeting, , monthly meetings etc. at BRC. ● Collaborating and coordinating with BEO and DIET. ● Preparing Annual Work Plan and Budget and training calendar, etc. ● Disbursing payments ● Providing support to activities undertaken at NPRC level. ● Setting AS Centres ● Coordinating with ECCE centres ● Planning environment building activities ● Coordinating with DPO 	<ul style="list-style-type: none"> ● Monitoring of NPRC activities. ● Collecting reports of various meetings and submitting to DPO. ● Feedback from teachers through school visits ● Attending review meetings ● Quality Monitoring Tools.

SOME BRC ACTIVITIES

BRC is the nucleus of all academic and co-curricular activities at the block level. It will be the centre of following activities :

- Training and orientation programmes for in-service teachers and evaluation of these trainings.*
- Making Child-centred activity based joyful teaching learning process more effective.*
- Organising series of meetings, seminars and workshops involving community members.*
- Launching environment building campaigns creating an atmosphere of festivity to secure community participation.*
- Publishing wall newspapers and news letters.*
- Overseeing of alternative schooling and ECCE centres.*
- MIS and Information interchange.*
- Holding cultural, academic competitions/contests for NPRCs and schools.*
- Preparing annual work plan of activities in consultation with NPRC, teachers and VEC members at the block level.*
- Sample checking of EMIS data.*

NYAYA PANCHAYAT RESOURCE CENTRE

NPRC is a nodal point of educational, academic and extra - curricular activities in the Nyaya Panchayat area. The NPRC is responsible for mobilization and motivation of the village community and training of VECs in school mapping and micro-planning.

At the headquarter of each Nyaya Panchayat, Nyaya Panchayat Resource Centre has been established to cover 10 to 15 schools. This constitutes the hub of educational and extra curricular activities in the area. The centres have been constructed through community (VEC) participation.

The centre is managed by a coordinator of the level of primary school head teacher. The respective DIET selects NPRC coordinators so that those with training aptitude and professional development abilities get selected.

All NPRC coordinators have undergone the BRC level in-service teachers training and special training for training VEC members. NPRC co-ordinators play a significant role in the management of wide variety of quality improvement interventions by sustaining follow-up, reinforcing training inputs and helping teachers overcome problems related to transacting the new teaching-learning methodologies. Besides, it is responsible for mobilisation and motivation of the village community and training of VECs in schools mapping and micro-planning, training of AS instructors as well as supervisors. NPRC Coordinators also assist in collection, verification and compilation of schools statistics data and in the micro-planning exercise.

The Centres have been provided with materials, books and a small recurring grant for meeting the expenses of activities organised at the centre. In addition, the centre is strengthened with pamphlets, brochures, newsletters, training modules/booklets on regular basis.

During 2006-07, all NPRC coordinators were given training to build their capacity to undertake grading of schools based on new parameters. Thereafter, NPRC coordinators undertook grading of each school in their Nyaya Panchayat.

As in the case of BRCs every NPRC prepares in advance a monthly calendar of events covering a variety of participatory and motivational activities. The NPRC coordinator visits all the primary schools, ECCE centres and Alternative Schooling Centres of his/her cluster. These visits are specially useful in assisting teachers to adopt improved teaching practices. In addition, monthly meetings of teachers is organised regularly at NPRC. The agenda of this meeting is pre-decided. The discussion revolves around the teaching learning, classroom situation etc. The NPRC coordinator entrusts a few teachers of the cluster with the action research work at cluster level.

FUNCTIONS OF NPRC

- Serve as model for emulation for all schools in the Nyaya Panchayat.
- Developing teaching - learning material, holding demonstration workshops by teachers and NPRC facilitators.
- School visits / guidance.
- Sharing of best practices.
- Conducting academic and co-curricular activities.
- Seeking convergence, coordination and cooperation of local agencies at the village level.
- MIS and information interchange.
- Holding cultural programmes to enhance community participation.
- Organization of units tests for children and grading of schools.



सर्व शिक्षा अभियान



सब पढ़ें सब बढ़ें

**UP EDUCATION FOR ALL PROJECT BOARD
State Project Office
Vidya Bhawan, Nishatgunj, Lucknow**

**Project Financial Statements
&
Audit Report, Management Letter
of
Sarva Shiksha Abhiyan
For the Year 2006-07**

By

DHAWAN & MADAN

CHARTERED ACCOUNTANTS

Himanshu Sadan 1st Floor, 5, Park Road,
Lucknow - 226001 .

Tel - 0522-2238346, 2237133, 3252900

Mob- 9335-288800, 200300, 247257

DHAWAN & MADAN

CHARTERED ACCOUNTANTS

Himanshu Sadan 1st Floor, 5, Park Road, LUCKNOW – 226001
Tel: 0522 – 2238346, 2237133, 3252900 Fax : 0522 – 2238346,
Mobile 9335-288800, 200300, 247257
E. mail : dhawan.madan@gmail.com, dhawan.madan@yahoo.co.in

AUDITORS' REPORT

*The State Project Director,
Uttar Pradesh Education for All Project Board
State Project Office
Vidyā Bhawan, Nishatganj,
Lucknow, Uttar Pradesh*

- 1. We have examined the Balance Sheet of SARVA SHIKSHA ABHIYAN, implemented by the Uttar Pradesh Education for All Project Board (hereinafter referred to as "The Project") as at 31st March 2007 and the Income & Expenditure Account and Receipt & Payment Account for the year then ended and annexed thereto. These financial statements are the responsibility of the project management. Our responsibility is to express an opinion on these financial statements based on our audit.*
- 2. We conducted our audit in accordance with auditing standards generally accepted in India. Those standards require that we plan and perform the audit to obtain reasonable assurance about whether the financial statements are free of material misstatement. An audit includes examining, on a test basis, evidence supporting the amounts and disclosures in the financial statements. An audit also includes assessing the accounting principles used and significant estimates made by management, as well as evaluating the overall financial statement presentation. We believe that our audit provides a reasonable basis for our opinion.*
- 3. These financial statements incorporate the accounts (Trial Balances) of 52 districts offices (DPO's and DIETs) audited by other auditors and 18 districts offices and the state project office audited by us.*



4. *Subject to our observations in the annexure to this report:-*

- a. *We have obtained all the information and explanations, which to the best of our knowledge and belief were necessary for the purpose of our audit.*
- b. *The Balance Sheet and Income and Expenditure Account dealt with by this report are in agreement with the books of accounts produced before us.*
- c. *In our opinion, Proper books of account have been kept by the Project so far, as appears from our examination of the books of account.*
- d. *In our opinion and to the best of our information and according to the explanations given to us, the said accounts read together with the note thereon, give a true and fair view:*
 - i. *In the case of Balance Sheet, of the state of affairs of the Project as at 31st March' 2007*
 - ii. *In the case of the Income and Expenditure Account, of the excess of expenditure over income of the Project for the year ended on that date.*
 - iii. *In the case of the Receipt and Payments Accounts, of Receipts & Payments of the Project for the year ended on that date.*

DHAWAN & MADAN
Chartered Accountants

Place: Lucknow
Dated: 30.11.2007



SARVA SHIKSHA ABHIYAN,
implemented by the Uttar Pradesh Education for All Project Board

ANNEXURE

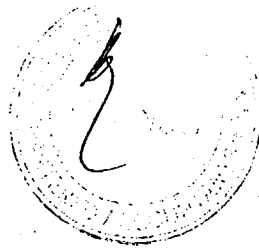
(referred to in para 4 of the Audit Report on the accounts of the SARVA SHIKSHA ABHIYAN for the year ended 31st March 2007)

1. *The financial statements are subject to the approval of the Executive Committee of the Project.*
2. *The Project has followed cash system of Accounting.*
3. *Project has adopted the Electronic Funds Transfer Mechanism (EFT) for transfer of funds from SPO to DPO / DIETs and through bank transfer advices, from DPO to sub-districts units. This has resulted in reduction in the fund transit period and has strengthened the fund management and control mechanism.*
4. *Advances to Block Resource Centres, Cluster Resource Centres and Village Education Committees are treated as expenditure at the time of giving advances (vide accounting policy no. g of schedule " L"). The system of obtaining the utilization Certificates has now been effected, however, the amount of Advances treated, as Expenditure in respect whereof Utilisation Certificates are not available, can not be presently quantified.*
5. *The Project Accounts reflect Miscellaneous Income amounting to Rs. 1,63,79,736.00 which includes various amounts credited in the Bank Accounts of the DPO's & DIET's At DPO & DIET levels being unspent balance returned back from BRC;s CRC's and VEC's levels. As per SSA Accounting Manual, the same should be credited back to the respective Budget head under which the said amount was sent to them. But due to no such details being submitted / collected by DPO and BRC's & VEC's, the respective expenditure head could not be reduced and hence to account for the same, it is credited in head of Miscellaneous Income.*
6. *Advances to Institutions, Balances with few bank accounts and Funds in Transit are subject to confirmation / reconciliations and subsequent adjustments, if any.*
7. *For Project Civil Works (i.e. Construction of Schools, Additional Classrooms, Toilet, Boundary Wall etc.), we have largely relied*



upon the Utilisation Certificates, submitted by the Village Education Committees, verified on the basis of the books of account and related documents produced before us.

8. *Internal controls relating to advances to sub-district agencies and the system of generation, authentication, collection and accounting of related utilization certificates has been introduced and implemented and needs to be strengthened.*

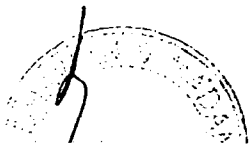


**Utilisation Certificate under SARVA SHIKSHA ABHIYAN for the
year ended 31st March '2007**

Name of the State : Uttar Pradesh

Sl. No.	Sanction Letter No. & Date	Amount (Rs.)
Government of India		
1.	F9-8/2006-EE14 Date: 30/05/06	11,99,72,00,000
2.	F9-8/2006-EE14 Date: 02/11/2006	7,50,00,00,000
3.	F9-8/2006-EE14 Date: 30/05/06	66,82,00,000
4.	F9-8/2006-EE14 Date : 02/11/2006	50,00,00,000
	TOTAL	20,66,54,00,000
Government of Uttar Pradesh		
1.	1049/79-5-2006-43/2000 Dated: 10/04/06	7,50,00,000
2.	1049/11/79-5-2006-43/2000 Dated: 08/04/06	1,67,50,00,000
3.	287/26-50-2006 Dated: 13/04/06	47,62,50,000
4.	U.O.287A/26-BP-2000 Dated: 13/04/06	7,50,00,000
5.	92/73-5-2006-43/2000TC Dated: 04/08/06	1,29,22,34,000
6.	92/111/79-5-2006-43/2000TC Dated: 04/08/06	22,50,00,000
7.	U.O.744/26-BP-2006-243/2004 Dated: 15/09/2006	17,83,16,000
8.	U.O.744 A/26-BP-2006- 243/2004 15/09/06	22,50,00,000
9.	2607/79-5-2006-43/2000TC Dated: 14/11/06	1,25,45,66,000
10.	3065/79-5-2006-43/2000TC Dated: 11/12/06	87,87,67,000
11.	U.O.1103/26BP-2006- 243/2004 Dated: 29/12/06	53,30,63,000
12.	U.O.1103-A/26 BP-2006- 243/2004 Dated: 29/12/06	2,70,000
	Total	6,88,84,66,000

1. Certified that out of Rs. 27,55,38,66,000.00 (Rupees Two
Thousand Seven Hundred Fifty Five Crores Thirty Eight Lacs




Sixty Six Thousand Only) of Grant-in-Aid sanctioned during the year 2006-2007 in favour of U.P. Education for All Project Board, vide Ministry of Human Resource Development, Department of Elementary Education and Literacy, letter nos. noted against each grant, State G.O. from Govt. of U.P. and Rs. 16,94,88,897.82 (Rupees Sixteen Crores Ninety Four Lac Eighty Eight Thousand Eight Hundred Ninety Seven & Eighty Two Paise Only) on account of interest and miscellaneous income earned during the period 1.4.2006 to 31.3.2007 and a sum of Rs. 2,53,03,38,102.96 (Rupees Two Hundred Fifty Three Crores Three Lacs Thirty Eight Thousand One Hundred Two & Ninety Six Paise only) on account of unspent balance of the previous year, a sum of Rs. 28,29,12,56,757.27 (Rupees Two Thousand Eight Hundred Twenty Nine Crores Twelve Lacs Fifty Six Thousand Seven Hundred Fifty Seven & Twenty Seven Paise Only) has been utilised for the purpose for which it was sanctioned and that the balance of Rs. 1,96,24,36,243.51 (Rupees One Hundred Ninety Six Crore Twenty Four Lacs Thirty Six Thousand Two Hundred Fourty Three & Fifty One Paise only) remained unutilized at the end of the year and will be adjusted towards the Grants-In-Aid payable during the year 2007-2008.

2. *Certified that I have satisfied myself that the conditions on which the Grants-In-Aids was sanctioned have been fully fulfilled and that I have exercised the following checks to see that the money was actually utilized for the purpose for which it was sanctioned.*

Kinds of checks exercised :-

1. Audited Statements of Accounts (copy enclosed)
2. Utilisation Certificate
3. Progress Report (copy enclosed)


State Project Director
(Deepak Krivedi)
U.P. Sabhi Ke Liye Shiksha
U.P. Education for All Project Board,
Parityojna Parishad

Dated: 30.11.2007

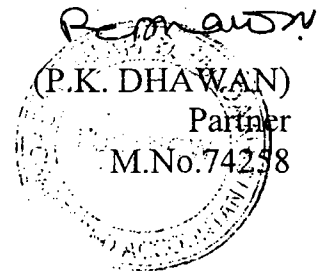
AUDITOR'S CERTIFICATE

We have verified the above statement with the books of and records produced before us for our verification and found the same has been drawn in accordance therewith.

DHAWAN & MADAN
Chartered Accountants

Place: Lucknow

Dated: 30.11.2007



DHAWAN & MADAN
CHARTERED ACCOUNTANTS

Himanshu Sadan 1st Floor, 5, Park Road, LUCKNOW – 226001
Tel: 0522 – 2238346, 2237133, 3252900 Fax : 0522 – 2238346,
Mobile 9335-288800, 200300, 247257
E. mail : dhawan.madan@gmail.com, dhawan.madan@yahoo.co.in

*The State Project Director,
Uttar Pradesh Education for All Project Board
State Project Office
Vidya Bhawan, Nishatganj,
Lucknow, Uttar Pradesh*

Sub: Procurement under SARVA SHIKSHA

Dear Sir,

We have conducted the audit of Balance Sheet as at 31st March'2007, Income & Expenditure Accounts and Receipts and Payments Accounts for the year then ended of SARVA SHIKSHA ABHIYAN, implemented by the Uttar Pradesh Education for All Project Board. These financial statements incorporate the accounts (Trial Balances) of 52 districts offices (DPO's and DIETs) audited by other auditors and 18 districts offices and the state project office audited by us.

Based on our audit and records made available to us and subject to our audit reports, we have verified on a test basis, whether goods, works and the services financed have been procured in accordance with relevant provisions of the procurement procedure prescribed for the purpose.

Thanking you

DHAWAN & MADAN
Chartered Accountants

P. K. DHAWAN
(P.K. DHAWAN)

Partner

M.No.74258

Place : Lucknow

Dated : 30.11.2007

DHAWAN & MADAN

CHARTERED ACCOUNTANTS

Himanshu Sadan 1st Floor, 5, Park Road, LUCKNOW – 226001
Tel: 0522 – 2238346, 2237133, 3252900 Fax : 0522 – 2238346,
Mobile 9335-288800, 200300, 247257
E. mail : dhawan.madan@gmail.com, dhawan.madan@yahoo.co.in

*The State Project Director,
Uttar Pradesh Education for All Project Board
State Project Office
Vidya Bhawan, Nishatganj,
Lucknow, Uttar Pradesh*

Sub: Procurement under SARVA SHIKSHA ABHIYAN


Dear Sir,

This is to Certify that we have gone through the procurement procedure used for the State for SSA and based on the audits of the records for the year 2006-2007 for the SARVA SHIKSHA ABHIYAN, UP, Lucknow and inputs from the districts audit reports, we are satisfied that the procurement procedure prescribed in the Manual on Financial Management and Procurement under SSA has been followed / or the following deviations were observed :-

<i>Sl. NO.</i>	<i>Details</i>	<i>Deviations</i>	<i>Amounts involved (declared as mis-procurement)</i>
	<i>N</i>	<i>I</i>	<i>L</i>

Thanking you

DHAWAN & MADAN
Chartered Accountants


(P.K. DHAWAN)
Partner
M.No.74258

Place: Lucknow

Dated: 30.11.2007

**SARVA SHIKSHA ABHIYAN
U.P. EDUCATION FOR ALL PROJECT BOARD
Vidya Bhawan, Nishatganj, Lucknow**

Summary Budget Analysis (Entire Program) for the financial year ended 31st March '2007

FMR - I
(Rs. In Lacs)

Name of state	AWP & B	Opening Balance (01.04.2006)	Released by GOI (including External) (Rs.)	Released by States	Reported Expenditure(Rs.)
Uttar Pradesh	367851.15	25303.38	206654.00	68884.66	282912.57
TOTAL	367851.15	25303.38	206654.00	68884.66	282912.57

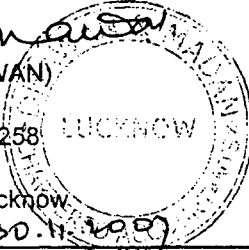
AWP & B : Denotes Annual Work Plan & Budget
Release : Denote all releases to the SIS

Name of state	Opening Balance (01.04.2006)	Release for the year till date	Expenditure for the financial year till date
Uttar Pradesh	25303.38	275538.66	282912.57
TOTAL	25303.38	275538.66	282912.57

The above statement has been prepared on the basis of the Audited Financial Statements for the financial year 2006-07 and is subject to our Audit Report issued thereon .

For DHAWAN & MADAN
Chartered Accountants

P.K. Dhawan
(P.K. DHAWAN)
Partner
M. No. 074258
Place: - Lucknow
Dated: - 30.11.2007



(Signature)
(Senior Accounts Officer)
(Finance Controller)

**SARVA SHIKSHA ABHIYAN
U.P. EDUCATION FOR ALL PROJECT BOARD
Vidya Bhawan, Nishatganj, Lucknow**

Name of the States : Uttar Pradesh
Activity - Wise Expenditure Statement Of SSA for the year ended 31st March ' 2007

Sl.No.	Activity Head	Expenditure (Rs.)
1	Teachers Salary	4,472,012,500.00
2	Honoraria of Shiksha Mitra	3,958,897,344.00
3	Block Resource Centres	32,547,938.00
4	Cluster Resource Centres/NPRC	42,011,812.00
5	Civil Works-	14,548,810,976.00
6	Free Text Books	948,993,061.92
7	IED	219,430,493.40
8	Innovative Activities	89,494,235.00
9	TLE	217,121,720.00
10	Teachers Grant	192,160,547.00
11	Research & Evaluation	31,346,561.50
12	School Grant	256,320,291.00
13	District Management Cost	183,253,188.32
14	School Maintenance Grant	574,829,236.00
15	Educational Guarantee Scheme and Alternative Schooling	233,247,488.00
16	Teacher training	281,127,103.00
17	Community Training	42,318,269.25
18	UERC	35,300.00
19	MIS	74,365,773.75
20	Management of Programme	104,431,444.40
21	Other Activities	98,200,077.50
22	NPEGEL Expenditure	1,637,127,512.23
23	AD Basic Expenditure	7,235,765.00
24	SPO Expenditure	45,938,120.00
TOTAL		28,291,256,757.27

The above statement has been prepared on the basis of the Audited Financial Statements for the financial year 2006-07 and is subject to our Audit Report issued thereon .

For DHAWAN & MADAN
Chartered Accountants

P. K. Dhawan
(P.K.DHAWAN)
Partner
M. No. 074258
Place: - Lucknow
Dated: - 30.11.2007

(सि. अ. वि. बो. लखनऊ)
(Senior Accounts Officer)
30/11
(Finance Controller)

SARVA-SHIKSHA ABHIYAN
U.P. EDUCATION FOR ALL PROJECT BOARD
 Vidya Bhawan, Nishatganj, Lucknow

Balance Sheet as at 31st March 2007

LIABILITIES	SCH.	AMOUNT	AMOUNT	ASSETS	SCH.	AMOUNT	AMOUNT
Project Fund Account				Fixed Assets			
Opening Balance		2,557,586,156.96		Furniture & Equipments	A		29,201,076.00
Less: - Transfer from Income & Expenditure A/C		565,948,837.45	1,991,637,319.51	Advance to Institutions	B		114,501,330.72
				Closing Cash Balance	C		1,866,047,622.04
				Closing Balance at District			
				SSA-DPO		1,238,538,345.89	
				SSA-AD BASIC		6,842,701.00	
				SSA-DIET		174,110,225.94	
Current Liabilities			2,515,958.00	NPEGEL-DPO		237,482,761.48	
Advance for Staff DPO, Farukhhabad		201.00		Closing Balance at SPO			
Pathya Pustak Adhikari		143,274.00		NPEGEL-SPO		73,487,033.00	
Funds from Others		163,503.00		SSA-SPO		135,586,554.73	
Receipt from Director (Basic)		2,208,980.00		Funds in Transit - SSA	D		(14,666,988.25)
				Funds in Transit - NPEGEL	E		10,090,256.00
				Funds in Transit - DPO/DIET	F		(11,020,019.00)
TOTAL			1,994,153,277.51	TOTAL			1,994,153,277.51

Significant Accounting Policies and Notes on Accounts as per Schedule L
 Schedule A to L form integral part of the project Financial Statements.

As per our separate report of even date attached.

For DHAWAN & MADAN
 Chartered Accountants

P.K. Dhawan
 (P.K. DHAWAN)
 Partner
 M. No. 074258

Place: - Lucknow

Dated: - 30.03.2007

[Signature]
 (Senior Accounts Officer)
 (एस० के० डी० इन्डियाल)
 हरिप्रकाश विद्या भवन सेवाधिकारी

[Signature]
 (Finance Controller)

[Signature]
 (State Project Director)

State Project Director

U.P. Education for All Project

SARVA SHIKSHA ABHIYAN
U.P. EDUCATION FOR ALL PROJECT BOARD
 Vidya Bhawan, Nishatganj, Lucknow

Income & Expenditure Account for the year ended 31st March 2007

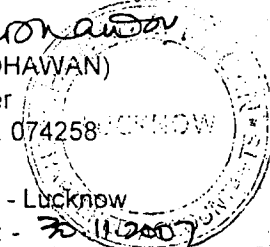
EXPENDITURE	SCH.	AMOUNT (Rs.)	INCOME	SCH.	AMOUNT
To Expenditure: -			By Funds Received: -		
Expenses Incurred by SPO-SSA	G	43,985,098.00	(A) Government of India		
Expenses incurred by the DIET-SSA	H	338,340,135.00	SSA		19,497,200,000.00
Expenses of NPEGEL	I	1,609,023,915.00	NPEGEL		1,168,200,000.00
Expenses at DPO-SSA	K	21,657,716,991.04	(B) Uttar Pradesh Government		
Expenses at AD- Basic	J	7,235,765.00	SSA		6,499,066,000.00
Expenditure at various Institutions	B	4,633,001,831.23	NPEGEL		389,400,000.00
			By Interest Received from Bank		153,109,161.82
			By Miscellaneous Income		16,379,736.00
			By Excess of Expenditure over income transferred to Project Fund Accounts		565,948,837.45
TOTAL		28,289,303,735.27	TOTAL		28,289,303,735.27

Significant Accounting Policies and Notes on Accounts as per Schedule L Schedule A to L form integral part of the project Financial Statements.

As per our separate report of even date attached.

For DHAWAN & MADAN
Chartered Accountants

P. K. Dhawan
(P. K. DHAWAN)
Partner
M. No. 074258 LUCKNOW
Place: - Lucknow
Dated: - 30/11/07



[Signature]
(Senior Accounts Officer)

[Signature]
(Finance Controller)
[Signature]
(State Project Director)

**SARVA SHIKSHA ABHIYAN
U.P. EDUCATION FOR ALL PROJECT BOARD
Vidya Bhawan, Nishatganj, Lucknow**

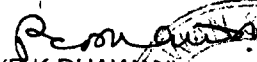
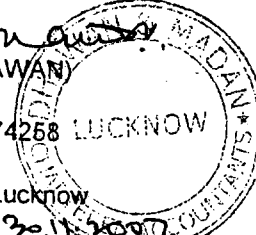
Receipt & Payment for the year ended 31st March 2007

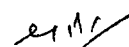
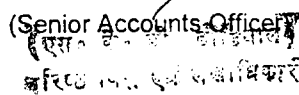
Receipt	SCH.	AMOUNT (Rs.)	Payment	SCH.	AMOUNT
To Opening Balance			Fixed Assets	A	1,953,022.00
- Cash and Bank Balance	C	2,474,115,475.26			
- Funds in transit - SSA	D	(2,066,952.25)			
- Funds in transit - NPEGEL	E	(4,239,744.00)			
- Advances to Institutions	B	65,045,281.95			
(A) Government of India			Expenditure During the year		
SSA		19,497,200,000.00	Expenses Incurred by SPO-SSA	G	43,985,098.00
NPEGEL		1,168,200,000.00	Expenses incurred by the DIET-SSA	H	338,340,135.00
(B) Uttar Pradesh Government			Expenses of NPEGEL	I	1,609,023,915.00
SSA		6,499,066,000.00	Expenses at DPO-SSA	K	21,657,716,991.04
NPEGEL		389,400,000.00	Expenses at AD- Basic	J	7,235,765.00
Interest received from Bank		153,109,161.82	Expenditure at various Institutions	B	4,633,001,881.23
Miscellaneous Income		16,379,736.00			
			Closing Balances		
			- Advances to Institutions	B	114,501,330.72
			- Cash and Bank Balance	C	1,866,047,622.04
			- Funds in transit - SSA	D	(14,666,988.25)
			- Funds in transit - NPEGEL	E	10,090,256.00
			- Funds in transit - DPO / DIET	F	(11,020,019.00)
Total		30,256,208,958.78	Total		30,256,208,958.78

Significant Accounting Policies and Notes on Accounts as per Schedule L
Schedule A to L form integral part of the project Financial Statements.

As per our separate report of even date attached.

For DHAWAN & MADAN
Chartered Accountants


(P.K. DHAWAN)
Partner
M. No. 074268 LUCKNOW

Place: - Lucknow
Dated: - 30.11.2007


(Senior Accounts Officer)



(Deepak Viradai)
(Finance Controller)
(State Project Director)

Fixed Assets

Furniture & Equipments

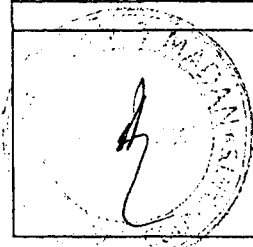
Opening Balance as on 01.04.2006	27,248,054.00
Add : Additions for the year	1,953,022.00
TOTAL	29,201,076.00

Statement showing Balances of Advances to Institutions

SCHEDULE B

NAME	Opening Bal	Amount Sent during the year	Expenditure during the year	Closing Balance
DIRECTOR OF BASIC EDUCATION	338,909.00	13,361,000.00	8,520,105.00	5,179,804.00
DIRECTOR OF LITERACY AND ALTERNATIVE EDUCATION	2,977,508.25	6,603,885.00	5,983,615.00	3,597,778.25
SIET LUCKNOW		6,600,000.00	6,162,376.00	437,624.00
FINANCE CONTROLLER, BASIC SHIKSHA PARISHAD, U.P. ALLAHABAD		4,472,012,500.00	4,472,012,500.00	-
PRATHAM MUMBAI EDUCATION INSTITUTE		701,400.00	701,400.00	-
DIRECTOR, SIEMAT, ALLAHABAD	6,667,025.49	14,950,000.00	5,508,472.00	16,108,553.49
NEDA		758,922.00	758,922.00	-
DIRECTOR, S.C.E.R.T., U.P. LUCKNOW		98,750,000.00	61,295,000.00	37,455,000.00
STATE INSTITUTE OF EDUCATION (RAJYA SHIKSHA SANSTHAN), ALLAHABAD		2,249,404.00	2,249,404.00	-
RAJYA VIGYAN SANSTHAN , ALLAHABAD		152,768.00	152,768.00	-
SARVODYA ASHRAM HARDOI - NGO		264,298.00	264,298.00	-
ARTIFICIAL LIMBS MANUFACTURING CORPORATION OF INDIA, KANPUR		15,233,867.00	15,233,867.00	-
STATE VISUALLY IMPAIRED INTER COLLEGE , DISTRICTS	20,429,444.50	18,368,898.00	26,055,507.00	12,742,835.50
RAJYA SHIKSHA SANSTHAN , ALLAHABAD	(54,605.80)			(54,605.80)
U.P. INSTRUMENTATION LTD.	25,000,000.00			25,000,000.00
MAHILA SAMAKHYA , (NPEGEL)	9,662,000.51	32,450,938.00	28,103,597.23	14,009,341.28
DIRECTOR, IDS, LUCKNOW	25,000.00			25,000.00
				-
				-
				-
				-
TOTAL	65,045,281.95	4,682,457,880.00	4,633,001,831.23	114,501,330.72

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(Senior Accounts Officer)

(Finance Controller)

(State Project Director)

SARVA SHIKSHA ABHIYAN
U.P. EDUCATION FOR ALL PROJECT BOARD
Vidya Bhawan, Nishatganj, Lucknow

SCHEDULE C

Statement showing Cash & Bank Balances as on 31.3.2007

Name of Districts	DPO	DIET	NPEGEL	AD BASIC
Agra	18,198,331.00	2,731,226.00	2,445,928.00	672,046.00
Aligarh	19,084,626.00	1,237,101.00	13,389,326.00	
Allahabad	12,120,951.15	4,665,827.90	655,600.50	600,533.00
Ambedkarnagar	21,395,630.50	1,127,634.00	19,424,117.00	
Auraiya	10,182,846.00	1,296,087.00		
Azamgarh	36,304,152.00	2,968,591.00	3,056,954.00	760,897.00
Budaun	20,996,390.99	3,049,433.51	6,052,156.00	
Baghpat	12,374,260.00	1,928,766.00	689,344.00	
Behraich	39,162,822.73	2,718,817.00	3,486,619.00	
Balia	48,237,149.00	2,139,871.00	1,087,525.00	
Balrampur	11,873,585.00	1,980,958.00	351,311.00	
Banda	9,520,253.00	3,176,614.00	227,459.00	
Barabanki	13,743,155.00	1,742,204.00	381,542.00	
Bareilly	10,087,822.94	3,085,204.46	936,641.00	569488.00
Basti	17,558,540.00	1,994,164.00	13,913,732.00	
Bijnor	17,371,157.00	6,011,516.00	4,964,176.00	
Bulandshahar	18,751,615.00	2,624,256.00	3,671,748.00	
Chandauli	21,428,883.00	2,602,460.00	4,783,807.00	
Chitrakoot	10,172,865.50	2,319,709.00	2,368,551.00	
Deoria	25,598,555.00	3,792,531.00	3,685,136.00	
Etah	24,156,473.00	5,924,317.00	14,671,226.00	
Etawah	7,961,028.25	1,625,032.00	2,249,496.00	
Faizabad	13,716,621.00	2,643,430.00	3,120,730.00	695,305.00
Farukhabad	12,656,936.00	2,540,304.00	2,263,840.50	
Fatehpur	8,504,178.00	2,063,557.05	2,111,435.00	
Firozabad	14,546,407.10	2,622,517.00	1,938,316.00	
Gautam Buddha Nagar	11,156,766.00	1,247,300.00	349,189.00	
Ghazipur	11,802,271.00	3,638,932.00	2,926,210.00	
Ghaziabad	20,703,241.00	2,463,865.00	610,793.00	
Gonda	70,145,511.00	2,751,168.00	20,225,535.00	
Gorakhpur	80,122,151.00	2,958,673.00	20,839,226.00	764352.00
Hamirpur	7,483,730.95	2,743,365.00	3,901,722.00	
Hardoi	16,130,360.50	5,022,028.00	1,771,350.00	
Hathras	7,575,681.00	1,905,019.00	874,254.00	
J.P.Nagar	14,439,674.00	1,680,749.00	405,111.00	
Jalaun	12,477,450.99	3,961,481.00	775,107.00	
Jaunpur	18,743,454.00	3,631,574.00	9,679,375.00	
Jhansi	8,677,297.98	2,014,209.75	682,745.00	509,515.00
Kannauj	8,106,852.00	2,255,605.00	234,238.00	
Kanpur Dehat	13,354,360.70	2,526,454.00	6,818.00	
Kanpur Nagar	9,548,344.20	3,099,033.50	1,198,403.00	576,988.00
Kaushambi	8,209,693.00	448,697.00	1,197,100.50	
Kushinagar	14,285,445.00	2,468,567.00	787,588.00	
Lakhimpur	22,225,196.00	1,823,824.00	1,172,289.00	



SARVA SHIKSHA ABHIYAN
U.P. EDUCATION FOR ALL PROJECT BOARD
Vidya Bhawan, Nishatganj, Lucknow

SCHEDULE C

Statement showing Cash & Bank Balances as on 31.3.2007

Name of Districts	DPO	DIET	NPEGEL	AD BASIC
Lalitpur	12,151,405.00	1,365,401.00	1,045,327.00	
Lucknow	16,493,695.00	956,900.00	461,099.00	322,680.00
Maharajganj	14,330,339.00	1,289,835.00	2,736,537.00	
Mahoba	5,455,649.95	2,512,740.00	524,500.00	
Mainpuri	11,653,739.00	2,664,245.00	2,997,259.00	
Mathura	10,472,257.00	2,660,204.00	2,884,359.00	
Mau	11,113,219.00	3,138,653.00	382,908.00	
Meerut	21,532,864.20	2,757,676.00	1,435,376.00	125,067.00
Mirzapur	13,842,321.00	4,056,678.00	4,415,731.00	
Moradabad	18,726,609.00	2,914,405.00	613,513.00	677,274.00
Muzaffarnagar	20,793,548.06	2,916,502.00	3,709,225.00	
Pilibhit	9,538,761.00	2,100,999.00	820,068.00	
Pratapgarh	22,228,262.00	2,273,131.00	2,325,398.00	
Raibareilly	43,243,640.77	2,936,629.00	1,287,625.00	
Rampur	12,677,885.00	1,771,672.27	570,854.00	
Saharanpur	9,514,618.68	2,686,736.50	4,930,046.00	
Sant Kabirnagar	12,680,203.00	556,696.00	4,719,732.00	
Sant Ravidas Nagar (Bhadohi)	23,981,316.00	2,432,516.00	268,086.00	
Shajhapur	15,362,885.00	2,723,299.00	843,329.00	
Shrawasti	13,348,451.00	499,567.00	330,075.00	
Siddharthanagar	13,002,132.00	1,527,295.00	1,273,439.00	
Sitapur	20,322,960.75	2,887,931.00	28,490.00	
Sonbhadra	10,898,096.00	1,879,259.00	1,684,411.00	
Sultanpur	11,719,000.00	2,135,538.00	3,287,000.00	
Unnao	21,070,620.00	1,709,218.00	9,629,208.98	
Varanasi	11,491,154.00	1,503,828.00	4,715,396.00	568556.00
Total	1,238,538,345.89	174,110,225.94	237,482,761.48	6,842,701.00

Summary of Closing Balance

SSA - SPO	135,586,554.73
SSA - DPO	1,238,538,345.89
SSA - DIET	174,110,225.94
NPEGEL - SPO	73,487,033.00
NPEGEL - DPO	237,482,761.48
AD BASIC	6,842,701.00

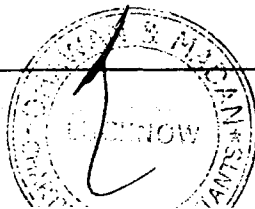
TOTAL 1,866,047,622.04

(Senior Accounts Officer)
(ए.एस. ऑ. डी. ऑडिटर)
वरिष्ठ वित्त एवं लेखाधिकारी

(Finance Controller)

(State Project Director)
(Deepak Trivedi)

State Project Director
U.P. Education for All Project Board,
Nishatganj, Lucknow.



SARVA SHIKSHA ABHIYAN
U.P. EDUCATION FOR ALL PROJECT BOARD
Vidya Bhawan, Nishatganj, Lucknow

SCHEDULE D

Statement showing Funds in Transit - SSA

DISTRICT	OPENING BAL	Sent by SPO	Recd by DPO	CLOSING BAL
AGRA	752,959.00	458,283,391.00	459,085,141.00	(48,791.00)
ALIGARH	24,497.00	221,298,705.00	221,298,705.00	24,497.00
ALLAHABAD	404,963.00	406,493,210.00	407,428,274.00	(530,101.00)
AMBEDKAR NAGAR	(1,583,909.00)	367,400,691.00	367,116,081.00	(1,299,299.00)
AURAIYA	512,400.00	162,593,887.00	163,106,287.00	-
AZAMGARH	31,505.00	738,047,367.00	738,047,367.00	31,505.00
BADAUN	(1,177,634.95)	476,465,184.00	476,465,184.00	(1,177,634.95)
BAGPAT	2,142,992.00	93,338,813.00	93,146,563.00	2,335,242.00
BALLIA	(1,163,395.00)	325,754,296.00	325,754,296.00	(1,163,395.00)
BALRAMPUR	801,159.00	241,137,923.00	241,016,923.00	922,159.00
BANDA	3,270,381.50	152,949,342.00	152,949,342.00	3,270,381.50
BARABANKI	(4,020,694.00)	496,659,487.00	496,650,987.00	(4,012,194.00)
BAREILLY	(7,109,000.00)	455,709,947.00	455,709,947.00	(7,109,000.00)
BASTI	5,652,400.00	366,906,050.00	368,590,085.00	3,968,365.00
BEHRAICH	(903.00)	509,589,322.00	509,597,822.00	(9,403.00)
BHADOI	(3,909,348.00)	160,700,161.00	160,734,361.00	(3,943,548.00)
BIJNOR	70.00	371,397,264.00	371,409,914.00	(12,580.00)
BULANSHEHAR	0.00	326,016,271.00	326,016,271.00	-
CHAUNDALI	(6,686,834.00)	233,606,302.00	233,606,302.00	(6,686,834.00)
CHITRAKOOT	3,549,395.00	144,849,744.00	144,849,744.00	3,549,395.00
DEORIA	800.00	369,325,762.00	369,325,762.00	800.00
ETAH	(614,437.00)	414,270,197.00	413,678,297.00	(22,537.00)
ETAWAH	(1.00)	182,285,042.00	182,285,042.00	(1.00)
FAIZABAD	6,830,375.50	261,687,424.00	260,812,224.00	7,705,575.50
FARUKHABAD	966,153.00	252,408,055.00	252,408,055.00	966,153.00
FATHEPUR	200,800.00	356,670,931.16	358,935,731.16	(2,064,000.00)
FIROZABAD	(18,161,160.00)	231,741,164.00	234,116,964.00	(20,536,960.00)
G.B.NAGAR	0.00	90,614,302.00	90,614,272.00	30.00
GAZIABAD	(306,142.00)	229,730,104.00	229,730,104.00	(306,142.00)
GAZIPUR	(45,051.00)	443,025,953.00	443,017,553.00	(36,651.00)
GONDA	9,171,779.00	389,514,824.00	389,950,947.00	8,735,656.00
GORAKHPUR	678,620.00	391,866,114.00	391,854,914.00	689,820.00
HAMIRPUR	531,680.00	89,503,577.00	89,500,727.00	534,530.00
HARDOI	1,760,480.00	715,755,676.00	717,596,446.00	(80,290.00)
HATHRAS	753,433.00	144,776,226.00	144,776,226.00	753,433.00
J.P.NAGAR	7,620,477.00	206,692,343.00	206,692,343.00	7,620,477.00
JALAUN	(20,902,904.00)	100,622,329.00	100,576,729.00	(20,857,304.00)
JAUNPUR	(2,108,151.00)	703,548,604.00	703,551,754.00	(2,111,301.00)
JHANSI	(19,500.00)	234,172,900.00	234,172,900.00	(19,500.00)

SARVA SHIKSHA ABHIYAN
U.P. EDUCATION FOR ALL PROJECT BOARD
Vidya Bhawan, Nishatganj, Lucknow

SCHEDULE D

Statement showing Funds in Transit - SSA

DISTRICT	OPENING BAL	Sent by SPO	Recd by DPO	CLOSING BAL
KANNAUJ	142,000.00	287,795,496.00	287,938,296.00	(800.00)
KANPUR DEHAT	79,773.06	243,880,047.00	243,768,047.00	191,773.06
KANPUR NAGAR	532,799.50	347,633,562.00	347,633,562.00	532,799.50
KAUSHAMBI	326,583.00	173,828,720.00	174,155,303.00	-
KUSHINAGAR	111.64	552,371,706.00	552,371,706.00	111.64
LAKHIMPUR	0.00	622,384,164.00	622,384,164.00	-
LALITPUR	(917,691.00)	188,309,397.00	188,309,397.00	(917,691.00)
LUCKNOW	(93,750.00)	330,448,187.00	330,505,807.00	(151,370.00)
MAHARAJGANJ	(1,008,795.00)	364,195,549.00	364,530,199.00	(1,343,445.00)
MAHOBA	15,195.00	176,923,555.00	176,923,555.00	15,195.00
MAINPURI	1,188,818.00	208,155,481.00	209,796,710.00	(452,411.00)
MATHURA	0.00	213,019,106.00	213,019,106.00	-
MAU	2,068,708.50	279,922,986.00	282,637,386.00	(645,691.50)
MEERUT	(82,396.00)	155,683,388.00	155,982,987.00	(381,995.00)
MIRZAPUR	(167,975.00)	312,904,180.00	312,904,180.00	(167,975.00)
MORADABAD	(3,849,517.00)	569,749,491.00	569,704,966.00	(3,804,992.00)
MUZZAFAR NAGAR	86,900.00	238,010,957.00	237,950,457.00	147,400.00
PILIBHIT	5,599,906.00	260,910,515.00	260,908,065.00	5,602,356.00
PRATAPGARH	(2,835,000.00)	510,543,231.00	510,543,231.00	(2,835,000.00)
RAIBAREILLY	2,215,749.00	336,821,628.00	335,171,883.00	3,865,494.00
RAMPUR	(180,550.00)	349,776,251.00	348,989,301.00	606,400.00
SAHARANPUR	1,583,169.00	246,505,769.00	247,016,119.00	1,072,819.00
SANT KABIR NAGAR	(500.00)	140,818,917.00	140,914,340.00	(95,923.00)
SHAHJAHANPUR	(4,501.00)	439,938,463.00	440,205,963.00	(272,001.00)
SHRAWASTI	(298,542.00)	209,898,124.00	209,998,124.00	(398,542.00)
SIDHARTH NAGAR	17,145,047.00	268,684,727.00	268,684,727.00	17,145,047.00
SITAPUR	(100,000.00)	534,545,700.00	534,545,700.00	(100,000.00)
SONBHADRA	0.00	206,892,414.00	206,890,764.00	1,650.00
SULTANPUR	0.00	514,565,300.00	514,565,300.00	-
UNNAO	(1,515,276.00)	482,347,467.00	482,347,467.00	(1,515,276.00)
VARANASI	154,526.00	127,934,090.00	127,934,090.00	154,526.00
TOTAL	(2,066,952.25)	22,412,807,452.16	22,425,407,488.16	(14,666,988.25)

(Senior Accounts Officer)
(ए.एस.ओ. का. वि. प्र. बो.)
प्रमुख वित्त एवं लेखा अधिकारी

(Finance Controller)

(State Project Director)



SARVA SHIKSHA ABHIYAN
U.P. EDUCATION FOR ALL PROJECT BOARD
Vidya Bhawan, Nishatganj, Lucknow

SCHEDULE E

Statement showing Funds in Transit - NPEGEL

DISTRICT	OPENING BAL	Sent by SPO	Recd by DPO	CLOSING BAL
AGRA	3,000,000.00	30,746,650.00	30,746,650.00	3,000,000.00
ALIGARH		26,778,850.00	26,778,850.00	
ALLAHABAD		48,429,300.00	48,429,300.00	
AMBEDKAR NAGAR		24,032,350.00	24,032,350.00	
AZAMGARH		60,839,300.00	60,839,300.00	
BADAUN		42,344,550.00	42,344,550.00	
BAGPAT	-	9,350,350.00	9,350,350.00	
BALLIA	99,245.00	41,479,750.00	41,479,750.00	99,245.00
BALRAMPUR	22,680.00	21,903,450.00	21,903,450.00	22,680.00
BANDA		20,893,450.00	20,893,450.00	
BARABANKI		40,083,600.00	40,083,600.00	
BAREILLY		41,515,300.00	41,515,300.00	
BASTI		28,938,500.00	28,938,500.00	
BEHRAICH		34,862,550.00	34,862,550.00	
BHADOI		12,207,550.00	12,207,550.00	
BIJNOR		28,593,600.00	28,593,600.00	
BULANSHEHAR	25,200.00	31,632,150.00	31,632,150.00	25,200.00
CHAUNDALI		14,848,500.00	14,848,500.00	-
CHITRAKOOT		8,247,850.00	8,247,850.00	-
DEORIA		41,641,000.00	40,711,000.00	930,000.00
ETAH		35,939,100.00	35,939,100.00	
ETAWAH		7,519,450.00	7,519,450.00	
FAIZABAD		20,669,420.00	20,669,420.00	
FARUKHABAD		14,509,300.00	14,509,300.00	
FATHEPUR		27,839,900.00	27,839,900.00	
FIROZABAD		18,112,350.00	18,112,350.00	
G.B.NAGAR		6,668,000.00	6,668,000.00	
GAZIABAD		11,166,700.00	11,166,700.00	
GAZIPUR		44,782,100.00	44,782,100.00	
GONDA	(10,714,869.00)	41,443,350.00	28,043,350.00	2,685,131.00
GORAKHPUR		41,251,500.00	41,251,500.00	
HAMIRPUR		8,869,050.00	8,869,050.00	
HARDOI		49,888,700.00	49,888,700.00	
HATHRAS		12,827,700.00	12,827,700.00	
J.P.NAGAR		10,745,050.00	10,745,050.00	
JALAUN	309,600.00	17,498,800.00	17,498,800.00	309,600.00
JAUNPUR		52,318,900.00	52,318,900.00	
JHANSI		9,833,550.00	9,833,550.00	



SARVA SHIKSHA ABHIYAN
U.P. EDUCATION FOR ALL PROJECT BOARD
Vidya Bhawan, Nishatganj, Lucknow

SCHEDULE E

Statement showing Funds in Transit - NPEGEL

DISTRICT	OPENING BAL	Sent by SPO	Recd by DPO	CLOSING BAL
KANNAUJ		18,106,050.00	18,106,050.00	
KANPUR DEHAT		1,997,150.00	1,997,150.00	
KANPUR NAGAR		2,747,950.00	2,747,950.00	
KAUSHAMBI		19,025,700.00	19,025,700.00	
KUSHINAGAR		38,706,500.00	38,706,500.00	
LAKHIMPUR		37,993,450.00	37,993,450.00	
LALITPUR		10,734,550.00	10,734,550.00	
LUCKNOW	447,000.00	22,571,050.00	22,571,050.00	447,000.00
MAHARAJGANJ		35,392,250.00	35,392,250.00	
MAHOBA		10,443,450.00	10,443,450.00	
MAINPURI		18,761,850.00	18,761,850.00	
MATHURA		11,528,050.00	11,528,050.00	
MAU		20,738,150.00	20,738,150.00	
MEERUT		8,372,500.00	8,372,500.00	
MIRZAPUR		24,563,500.00	24,563,500.00	
MORADABAD		34,493,050.00	34,493,050.00	
MUZZAFAR NAGAR		10,765,500.00	10,765,500.00	
PILIBHIT		21,558,600.00	21,558,600.00	
PRATAPGARH	2,835,000.00	39,293,950.00	39,293,950.00	2,835,000.00
RAIBAREILLY	(22,500.00)	37,620,680.00	37,620,680.00	(22,500.00)
RAMPUR		24,203,600.00	24,203,600.00	
SAHARANPUR		23,636,800.00	23,636,800.00	
SANT KABIR NAGAR		7,737,800.00	7,737,800.00	
SHAHJAHANPUR		32,913,600.00	32,913,600.00	
SHRAWASTI		12,368,450.00	12,368,450.00	
SIDHARTH NAGAR		30,824,750.00	30,824,750.00	
SITAPUR		43,874,950.00	43,874,950.00	
SONBHADRA	(241,100.00)	16,662,950.00	16,662,950.00	(241,100.00)
SULTANPUR		37,292,000.00	37,292,000.00	
UNNAO		39,023,150.00	39,023,150.00	
VARANASI		29,354,850.00	29,354,850.00	
TOTAL	(4,239,744.00)	1,764,558,350.00	1,750,228,350.00	10,090,256.00

(Senior Accounts Officer)

(Finance Controller)

(State Project Director)

State Project Director

U.P. Education for All Project Board
Nishatganj, Lucknow.

SARVA SHIKSHA ABHIYAN
U.P. EDUCATION FOR ALL PROJECT BOARD
Vidya Bhawan, Nishatganj, Lucknow

SCHEDULE F

INTER TRANSFER BETWEEN DPO & DIET

DISTRICT	DPO		DIET		TOTAL		NET
	Dr.	Cr.	Dr.	Cr.	Dr.	Cr.	
AGRA	0.00	540000.00	0.00	2800.00	0.00	542800.00	(542800.00)
ALIGARH	0.00	364000.00	0.00	0.00	0.00	364000.00	(364000.00)
ALLAHABAD	0.00	1638000.00	0.00	0.00	0.00	1638000.00	(1638000.00)
AMBEDKAR NAGAR	70700.00	0.00	0.00	70700.00	70700.00	70700.00	0.00
AURAIYA	0.00	0.00	0.00	0.00	0.00	0.00	0.00
AZAMGARH	0.00	0.00	0.00	0.00	0.00	0.00	0.00
BADAUN	0.00	0.00	0.00	0.00	0.00	0.00	0.00
BAGPAT	35011.00	0.00	0.00	0.00	35011.00	0.00	35011.00
BALLIA	715300.00	0.00	0.00	715300.00	715300.00	715300.00	0.00
BALRAMPUR	0.00	0.00	0.00	0.00	0.00	0.00	0.00
BANDA	0.00	489800.00	489800.00	0.00	489800.00	489800.00	0.00
BARABANKI	7650.00	732581.00	732581.00	7650.00	740231.00	740231.00	0.00
BAREILLY	0.00	0.00	0.00	0.00	0.00	0.00	0.00
BASTI	0.00	0.00	0.00	0.00	0.00	0.00	0.00
BEHRAICH	0.00	0.00	0.00	0.00	0.00	0.00	0.00
BHADOI	0.00	0.00	0.00	0.00	0.00	0.00	0.00
BIJNOR	0.00	1771382.00	0.00	0.00	0.00	1771382.00	(1771382.00)
BULANSHEHAR	0.00	0.00	0.00	0.00	0.00	0.00	0.00
CHAUNDALI	490289.00	20055289.00	0.00	0.00	490289.00	20055289.00	(19565000.00)
CHITRAKOOT	0.00	0.00	0.00	0.00	0.00	0.00	0.00
DEORIA	0.00	0.00	0.00	0.00	0.00	0.00	0.00
ETAH	591900.00	0.00	0.00	591900.00	591900.00	591900.00	0.00
ETAWAH	0.00	0.00	0.00	0.00	0.00	0.00	0.00
FAIZABAD	66744.00	0.00	0.00	66744.00	66744.00	66744.00	0.00
FARUKHABAD	0.00	497000.00	0.00	2450.00	0.00	499450.00	(499450.00)
FATHEPUR	0.00	0.00	0.00	0.00	0.00	0.00	0.00
FIROZABAD	0.00	455000.00	0.00	0.00	0.00	455000.00	(455000.00)
G.B.NAGAR	0.00	0.00	0.00	0.00	0.00	0.00	0.00
GAZIABAD	0.00	0.00	0.00	0.00	0.00	0.00	0.00
GAZIPUR	0.00	0.00	0.00	9800.00	0.00	9800.00	(9800.00)
GONDA	0.00	0.00	0.00	0.00	0.00	0.00	0.00
GORAKHPUR	0.00	0.00	0.00	0.00	0.00	0.00	0.00
HAMIRPUR	15500.00	0.00	0.00	15500.00	15500.00	15500.00	0.00
HARDOI	0.00	0.00	0.00	0.00	0.00	0.00	0.00
HATHRAS	0.00	0.00	0.00	530675.00	0.00	530675.00	(530675.00)
J.P.NAGAR	0.00	0.00	0.00	0.00	0.00	0.00	0.00
JALAUN	0.00	0.00	0.00	0.00	0.00	0.00	0.00

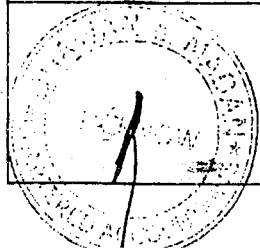
SARVA SHIKSHA ABHIYAN
U.P. EDUCATION FOR ALL PROJECT BOARD
Vidya Bhawan, Nishatganj, Lucknow

SCHEDULE F

INTER TRANSFER BETWEEN DPO & DIET

DISTRICT	DPO		DIET		TOTAL		NET
	Dr.	Cr.	Dr.	Cr.	Dr.	Cr.	
JAUNPUR	0.00	0.00	0.00	0.00	0.00	0.00	0.00
JHANSI	0.00	0.00	0.00	0.00	0.00	0.00	0.00
KANNAUJ	0.00	2000.00	0.00	1400.00	0.00	3400.00	(3400.00)
KANPUR DEHAT	0.00	0.00	0.00	113500.00	0.00	113500.00	(113500.00)
KANPUR NAGAR	540000.00	639000.00	0.00	0.00	540000.00	639000.00	(99000.00)
KAUSHAMBI	0.00	0.00	0.00	0.00	0.00	0.00	0.00
KUSHINAGAR	93210.00	0.00	0.00	93210.00	93210.00	93210.00	0.00
LAKHIMPUR	0.00	0.00	0.00	0.00	0.00	0.00	0.00
LALITPUR	900.00	353071.00	0.00	2300.00	900.00	355371.00	(354471.00)
LUCKNOW	0.00	0.00	0.00	0.00	0.00	0.00	0.00
MAHARAJGANJ	0.00	420546.00	420546.00	0.00	420546.00	420546.00	0.00
MAHOBA	0.00	0.00	0.00	16195.00	0.00	16195.00	(16195.00)
MAINPURI	0.00	0.00	0.00	0.00	0.00	0.00	0.00
MATHURA	0.00	0.00	0.00	33311.00	0.00	33311.00	(33311.00)
MAU	0.00	0.00	0.00	0.00	0.00	0.00	0.00
MEERUT	0.00	0.00	0.00	0.00	0.00	0.00	0.00
MIRZAPUR	0.00	0.00	0.00	0.00	0.00	0.00	0.00
MORADABAD	0.00	0.00	0.00	0.00	0.00	0.00	0.00
MUZZAFAR NAGAR	0.00	0.00	0.00	3500.00	0.00	3500.00	(3500.00)
PILIBHIT	0.00	0.00	0.00	2450.00	0.00	2450.00	(2450.00)
PRATAPGARH	0.00	0.00	0.00	0.00	0.00	0.00	0.00
RAIBAREILLY	1669745.00	9057800.00	0.00	1669745.00	1669745.00	10727545.00	(9057800.00)
RAMPUR	0.00	445343.00	445343.00	0.00	445343.00	445343.00	0.00
SAHARANPUR	0.00	0.00	527400.00	0.00	527400.00	0.00	527400.00
SANT KABIR NAGAR	0.00	0.00	0.00	0.00	0.00	0.00	0.00
SHAHJAHANPUR	0.00	47496.00	0.00	44200.00	0.00	91696.00	(91696.00)
SHRAWASTI	0.00	0.00	0.00	0.00	0.00	0.00	0.00
SIDHARTH NAGAR	0.00	0.00	0.00	0.00	0.00	0.00	0.00
SITAPUR	0.00	0.00	0.00	0.00	0.00	0.00	0.00
SONBHADRA	0.00	0.00	0.00	0.00	0.00	0.00	0.00
SULTANPUR	328383.00	0.00	0.00	328383.00	328383.00	328383.00	0.00
UNNAO	0.00	0.00	0.00	0.00	0.00	0.00	0.00
VARANASI	23569000.00	0.00	0.00	0.00	23569000.00	0.00	23569000.00
TOTAL	28194332.00	37508308.00	2615670.00	4321713.00	30810002.00	41830021.00	(11020019.00)

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(Senior Accounts Officer)
ज्येष्ठ लेखा अधिकारी

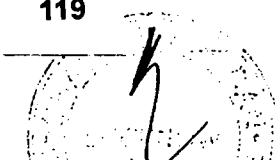
(Finance Controller)

(Deepak Srivedi)
(State Project Director)

U.P. Education for All Project Board
Vidya Bhawan, Lucknow.

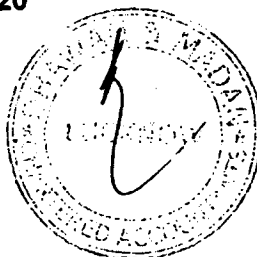
**SARVA SHIKSHA ABHIYAN
U.P. EDUCATION FOR ALL PROJECT BOARD
Vidya Bhawan, Nishatganj, Lucknow**

Schedule for SPO-SSA Expenses	SCHEDULE G
Staff Salary	21,211,057.00
POL & Vehicle Maintenance	4,300,850.00
Hiring of Taxi	1,260,753.00
Telephone & Fax	1,231,591.00
Travelling Expenses	1,504,470.00
Printing & Stationary	1,307,485.00
Contingencies	5,696,063.00
Media Photo Documentation	212,183.00
Media TV Advertising	1,627,461.00
Computer Expenses	593,257.00
Repair & Maintenance	700,443.00
Workshop Expenses	3,717,010.00
IED	27,600.00
Research Evaluation & Monitoring	594,875.00
TOTAL	43,985,098.00
Schedule for SSA - DIET Expenses	SCHEDULE H
Quality improvement including TLM	19,466.00
Bank Commission	213,028.50
Research Evaluation monitoring	24,265,160.50
Action Research	542,697.00
Travelling Expenses	87,099.00
Seminar & Workshop	-
Samekit Shiksha	76,167.00
Training Induction	9,334,583.00
Training Administration	3,954,191.00
Training in Service Teachers Training	107,744,147.00
Training VECs Member Training	5,954,740.00
Training Shiksha Mitra	159,449,037.00
Training BRCs Coordinators Resource Personal Training	4,648,225.00
Training ECCE Training	323,751.00
Training NPRC	879,493.00
Training Acharya of EGS Centre	3,298,381.00
Integrated Training	859,401.00
IED Training	880,492.00
Training others	11,015,578.00
Printing	2,639,984.00
Contingencies	1,132,257.00
Bridge Course	1,022,257.00
TOTAL	338,340,135.00



**SARVA SHIKSHA ABHIYAN
U.P. EDUCATION FOR ALL PROJECT BOARD
Vidya Bhawan, Nishatganj, Lucknow**

Schedule for SSA - NPEGEL Expenses	Schedule I
Chowki Nirman	7,127,500.00
Jhula Purchase	95,381,919.00
Uniforms	837,363,984.00
Civil Works-Multi Objective ACR/CRC	534,026,200.00
Students Evaluation REM	24,032,515.00
Teachers Training	16,719,250.00
Library & Sports	26,719,530.00
Contingency	31,288,020.00
Award to school	9,491,250.00
Award to teacher	4,170,000.00
Remidial Teaching	-
Awartan to school	2,438,750.00
Advances to BRC/NPRC/VEC	19,496,997.00
Jail Nigam for Hand Pump	770,000.00
TOTAL	1,609,023,915.00
Schedule for SSA - AD BASIC Expenses	Schedule J
Expenditure	
Furniture & Fixture	-
Equipment Purchase	-
Action Research	76,116.00
Salary	4,076,782.00
Travelling	238,496.00
Telephone	246,669.00
Pol & Maintenance	685,803.00
Printing	314,445.00
Rent & Rates	-
ECCE Training	-
Bhukamprodhi Training	411,028.00
Others Training	244,161.00
MIS Cell	192,121.00
Contingency	676,671.00
Sahyog Handbook	72,973.00
Advance	500.00
Printing	-
TOTAL	7,235,765.00



SARVA SHIKSHA ABHIYAN
U.P. EDUCATION FOR ALL PROJECT BOARD
Vidya Bhawan, Nishatganj, Lucknow

DPO Expenditure	Schedule K
Miscellaneous Expenditure	16,195.00
Expenditure	0.00
Honoraria of Shiksha Mitra	3,958,897,344.00
Block Resource Centres	26,644,938.00
Cluster Resource Centres/NPRC	42,011,812.00
Civil Works-	136,094,880.00
School Construction	0.00
Primary School Buildings	1,143,208,501.00
Upper Primary School Buildings	1,849,491,300.00
Additional Class Rooms-	0.00
Primary School	10,809,504,868.00
Upper Primary School	498,711,000.00
Toilets-	0.00
Primary School	0.00
Upper Primary School	0.00
Boundry Wall-	0.00
Primary School	1,140,776.00
Upper Primary School	1,565,756.00
Drinking water	0.00
Primary School	3,791,520.00
Upper Primary School	49,000.00
Reconstruction	0.00
Reconstruction Primary School	25,310,050.00
Reconstruction Upper Primary School	2,268,000.00
UERC	35,300.00
Educational Guarantee Scheme and Alternative Schooling	233,247,488.00
Free Text Books	948,993,061.92
Innovative Activities	89,494,235.00
IED	178,141,119.40
School Maintenance Grant	574,829,236.00
District Management Cost	183,253,188.32
Research & Evaluation	4,277,917.00
School Grant	256,320,291.00
Teachers Grant	192,160,547.00
TLE	217,102,254.00
MIS	74,365,773.75
Teacher training	10,635,538.00
Community Training	4,391,648.25
BRC and Nagar Siksha Adhikari	5,903,000.00
Construction of Ramps	77,075,325.00
Management of Programme	15,079,676.40
Construction of BRC	600,000.00
Other Activities	28,004,342.00
Module Printing (PS and UPS)	64,538,347.00
School Chalo Abhiyan	150,472.00
EMIS Cell	411,301.00
Bank Charges	990.00
TOTAL	21,657,716,991.04

(Senior Accounts Officer)

(Finance Controller)

(State Project Director)

SARVA SHIKSHA ABHIYAN,
(implemented by the Uttar Pradesh Education for All Project Board)

(Schedule "L" of Significant Accounting Policies and Notes on Accounts forming part of the project Financial Statements for the year ended 31st March 2007)

1. Significant Accounting Policies

a. Basis of Accounting:

The project accounts are prepared on historical cost convention and cash basis of accounting. Income / grant are accounted for when they are actually received and expenses are recognized as and when they are actually paid.

b. Fixed Assets:

Fixed assets purchased for SPO and DPO's operations are stated at their cost of acquisition. However, As per past practice, Fixed Assets acquired / created at field levels for smooth operations of the project activities have been treated as Expenditure at the time of release of payments.

c. Project Civil Works:

Project Civil works i.e. Construction of Schools, Additional Classrooms, toilet, Boundary wall etc. are charged to the Income & Expenditure as expenditure.

d. Depreciation:

No depreciation is charged on fixed assets.

e. Interest earned on Bank Accounts:

Interest earned on balances in bank accounts is treated as Income & utilized for the purpose of the project.

f. Miscellaneous Income:

Miscellaneous income shown in the Income & Expenditure Account includes amounts refunded by district level implementing Agencies e.g. BRC's VEC's etc in respect of

unspent funds. As per SSA Accounting Manual, the same should be credited back to the respective Budget head under which the said amount was sent to them. But due to no such details being submitted / collected by DPO and BRC's & VEC's, the respective expenditure head could not be reduced and hence to account for the same, it is credited in head of Miscellaneous Income.

g. Expenditure incurred by the Implementing Agencies:

- i) Amounts reimbursed to Basic Shiksha Parishad, Allahabad, towards Teacher's Salaries have been claimed as Expenditure at the time of payments i.e. release of funds.*
- ii) Expenditure incurred by Mahila Samakhya is accounted for upon receipt of the Utilisation certificate.*
- iii) Expenditures incurred by Director, Basic Education, Director, Literacy and Alternative Education, SIET, Lucknow, SIEMAT, Allahabad, SCERT, Lucknow, SIE Allahabad and other institutions have been accounted for on the basis of Statement of Expenditures (SOE's) submitted by the respective departments.*
- iv) Expenditure incurred by Schools, Offices and Institutions under the agencies of the Viklang Kalyan Department and funded by the Sarva Shiksha Abhiyan are accounted on receipt of statement of expenditure.*
- v) Advances to Block Resource Centers, Cluster Resource Centers and Village Education Committees are treated as Expenditure at the time of giving advances.*

2. Notes on Accounts

- a. The SARVA SHIKSHA ABHIYAN is being implemented in the state of Uttar Pradesh by the U.P EDUCATION FOR ALL PROJECT BOARD, which is a Society, registered under the Societies Registration Act'1860.*



- b. *The method of Accounting prescribed by the Manual On Financial Management and Procurement is as follows:*

“Complete accounts in respect of the monetary transaction of the state Implementation Society in the Headquarters Office as well as in the Subordinate Offices shall be maintained in the same manner as required in a State Government Office. The “double entry method based on mercantile system” of accounting shall also be following under SSA.”

AT SPO and some Districts, project is following Double Entry Accounting System on cash basis; however, the other district units of the project are following the single entry cash basis of accounting as in the State Government Office.

Project has initiated the system of implementation of Double Entry Accounting Systems at the remaining districts also.

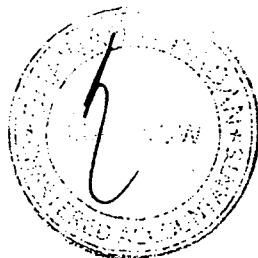
- c. *The Project has adopted the Manual on Financial Management and Procurement issued by the Department of elementary Education and Literacy, Ministry of Human Resource Development, Government of India.*
- d. *Funds in Transit, Advances to Institutions and Balances with few bank accounts are subject to confirmations / reconciliations and subsequent adjustments if any.*
- e. *The expenses incurred by state Project Office, SIEMAT, SCERT, State Institute of Education and Technology has been included under the State Component in the Income and Expenditure Account.*

(Senior Accounts Officer)
(ए.एस. ऑ. आ. देविदास)
अतिरिक्त वित्त एवं लेखाधिकारी

30/11
(Finance Controller)

(State Project Director)
(Deepak Trivedi)

State Project Director
U.P. Education for All Project Board
Nishatganj, Lucknow.



*Management Letter
of audit of
SARVA SHIKSHA ABHIYAN
for the year ending 31st March '2007*

DHAWAN & MADAN
CHARTERED ACCOUNTANTS

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SARVA SHIKSHA ABHIYAN

(Implemented by the Uttar Pradesh Education for All Project Board)

I. OVERVIEW

- 1.1 *We by an agreement have been assigned to carry out the audit of the Sarva Shiksha Abhiyan Project implemented by the U.P. Education For All Project Board for the financial year 2006-07. The SSA is a project of Government of India & Government of Uttar Pradesh with the objective of Universalization of Elementary Education (Class 1-8) in a fixed time frame. The project is being managed by the State Project Office of the Board with its wings spread all over Uttar Pradesh as its District Project Offices (DPO's) and District Institute of Education & Training (DIET's).*
- 1.2 *The objectives of the project are to build state, district and sub district level managerial and professional capacity for the development of elementary education; provide useful and relevant elementary education for all children in the age group of 6-14 years by 2010, to reduce dropout rates as well as improve access of children to elementary education and bridge social, regional and gender gaps with active participation of the community in management of schools.*

II. OBJECTIVE OF THE AUDIT

- 1.1 *The objective is to provide an independent confirmation to the project management and to the various stakeholders on the accuracy of the project's financial statement, compliance with credit agreement covenants and*

satisfactory overall working of the financial management systems. Further the objective of the audit of the Programme Financial Statements (PFS) is to enable the auditor to express a professional opinion on the financial position of SSA Programme at the end of each fiscal year and of the funds received and expenditures incurred for the accounting year ended 31st March '2007, as reported by the PFS.

- 1.2 We have covered the adequacy of the project financial management systems, including internal controls. This includes aspects such as adequacy and effectiveness of accounting, financial, and operational control, and needs for revision; level of compliance with established policies, plans and procedures, reliability of accounting systems, data and financial reports, methods of remedying weak controls or creating them where there are non verification of assets and liabilities, and integrity, controls, security and effectiveness of the operation of the computerized system.
- 1.3 We have audited the accounts of 18 district offices and State Project Office, the remaining 52 District Offices (DPO's and DIET's) have been audited by other auditors. Their Management Letters have been considered by us in framing this Management Letter.
- 1.4 The various accounting units in form of DPO's and DIET's of the following districts allotted to us by the State Project Office, Lucknow: -

1. Varanasi Mandal	a. Varanasi b. Chandauli c. Ghazipur d. Jaunpur
2. Gorakhpur Mandal	a. Gorakhpur b. Deoria c. Maharajganj d. Kushinagar

3. Allahabad Mandal	a. Allahabad b. Kaushambi c. Pratapgarh d. Fatehpur
4. Lucknow Mandal	a. Lucknow b. Unnao c. Sitapur d. Hardoi e. Raibareilly f. Lakhimpur-Kheri
5. State Project Office and various Institutions	

III. SCOPE OF THE AUDIT

The assignment is undertaken by us with below mentioned audit scope emphasizing primarily on whether:

- ✓ The audit was carried out in accordance with auditing standards generally accepted in India and standards as pronounced by The Institute of Chartered Accountants of India on Auditing and includes such test and controls that were necessary to conduct the audit.
- ✓ All funds have been used in accordance with the conditions of the relevant financing agreements, with due attention to economy and efficiency and only for which the financing was provided.
- ✓ Generally accepted accounting principles are followed by all entities that are authorized to incur expenditure under SSA.
- ✓ Goods and services have been procured in accordance with the relevant financing agreement.
- ✓ Adequate records have been maintained regarding assets created and acquired under the project.
- ✓ The expenditures charged to the project are eligible expenditure and have been correctly classified.

- ✓ That the civil works, goods and services financed have procured in accordance with the financing agreements and procurement guidelines of the Project.
- ✓ All necessary supporting documents, records and accounts have been kept in respect of all project ventures including expenditure reported in project management reports. Clear linkages exist between the books of accounts and report presented to the bank.
- ✓ The project's accounts have been prepared in accordance with consistently applied accounting standards and give a true and fair view of the financial situation of the project.

IV. RECORDS & STATEMENTS REVIEWED

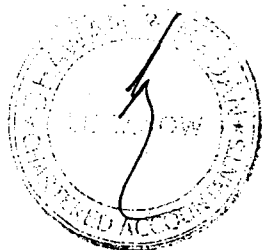
The following books / records / documents were required and to the extent made available, were reviewed with regards to the SPO / DPO's and DIET's by the audit team: -

- a. Cash book / Bank book
- b. Pass book/Bank Statements and its reconciliation statements.
- c. General ledger
- d. Cheque issue / Receipt register
- e. TDS File
- f. Non Consumable stock register
- g. Consumable stock register
- h. Fixed Asset register
- i. Bank Statements / Pass Books
- j. Pay Bill Register
- k. Monthly Statement of Expenditures (SOEs)
- l. Supporting Vouchers

V. COVERAGE OF MANAGEMENT LETTER

Our Management Letter is an extension of our Short Form Audit Report and contains material observations and comments on the following areas:-

- *Comments and observations on the accounting records, systems and internal controls*
- *Comments on specific deficiencies and areas of weaknesses in systems and internal control mechanism and recommendations for its improvements.*
- *Report on degree of compliance of each of the financial covenants of the financing agreement and give comments, if any on internal and external matters affecting such compliance;*
- *Comments on the matters that have come to the attention during the audit which might have a significant impact on the implementation of the Project and*
- *Comments on any matters, which we considers pertinent to the attention of the Management.*

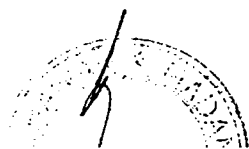
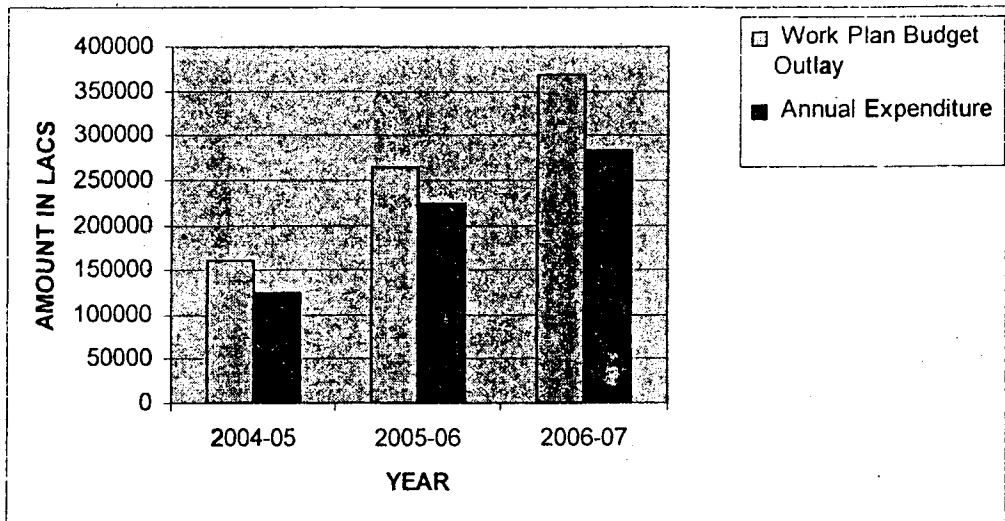


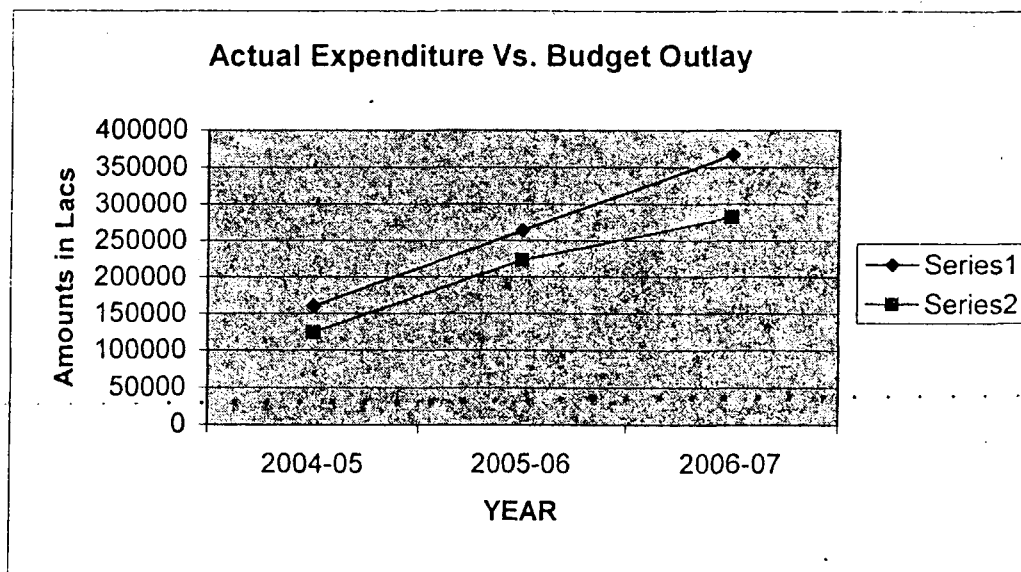
VI. OUR OBSERVATIONS

1) Project Performance

During the year, Project Management has utilized Rs. 2829.13 Crores as against the Budget outlay of Rs. 3678.51 Crores, showing 80 % utilisation. The comparative figures for past three years have been given as under :-

Project Expenditure			
Year	Work Plan Budget Outlay	Annual Expenditure	%
2004-05	160446.44	125173.90	78.02
2005-06	264189.39	224056.27	84.81
2006-07	367851.15	282912.57	76.91





2) Financial Accounting System

- ◆ *AT SPO and some Districts, project is now following Double Entry Accounting System on cash basis; however, the other district units of the project are still following the single entry cash basis of accounting as in the State Government Office. Project Management had initiated the system of implementation of Double Entry Accounting Systems at the remaining districts also in the year under audit. However effective implementation of the same needs further strengthening.*
- ◆ *The system of regular maintenance of ledgers and trial balances at monthly intervals needs further improvement.*
- ◆ *System of regular reconciliation of various bank accounts at district level needs improvement. At few of the DPO's and DIETS, Bank accounts are not reconciled. We suggest that Management should ensure proper reconciliation of its bank accounts at districts level at*

monthly intervals and should advise the Unit Accountants to make necessary rectification entries accordingly.

- ◆ The Project Accounts reflect Funds in Transit Cr. 1,55,96,751.25 indicating funds have been received more than the amounts sent. Such differences have occurred primarily due to Funds of the KGBV Project lying with the bank accounts of the SSA and wrong entries made by the DPO's & DIETs for transactions between them. The same needs to be reconciled and rectified accordingly. Further a system of regular reconciliation of Inter Unit transactions needs to be enforced to avoid its recurrence.

- ◆ The Project Accounts reflect Miscellaneous Income amounting to Rs. 1,63,79,736.00 which includes various amounts credited in the Bank Accounts of the DPO's & DIET's At DPO & DIET levels being unspent balance returned back from BRC's CRC's and VEC's levels. As per SSA Accounting Manual, the same should be credited back to the respective Budget head under which the said amount was sent to them. But due to no such details being submitted / collected by DPO and BRC's & VEC's, the respective expenditure head could not be reduced and hence to account for the same, it is credited in head of Miscellaneous Income. SPO should issue necessary instructions to district & sub district level units in this regard.

3) Financial Reporting by District / sub district Units

- ◆ It is observed that at some districts and sub districts level, SOE Reports are not compiled from the ledgers and trial balances. System



of preparing SOE's reports and its clear linkage with books of accounts needs to be improved.

- ◆ Advances to Block Resource Centres, Nyay Panchayat Resource Centres/ Cluster Resource Centres, & Village Education Committees are treated as expenditure at the time of giving advances (vide accounting policy no. g of schedule "L").

4) Advances made to and Expenditure incurred by the Implementing Agencies:

- ◆ At SSA, the Project Specific Activities are primarily being carried out at Sub – District levels agencies e.g. VEC's BRC's, CRC's & BPRC's and various institutions.
- ◆ As per SSA Accounting manual, Amounts released to any Implementing Agency should be treated as Advances and be recorded in the Advance Register, which shall be adjusted based on the Expenditure Statements and Utilisation Certificates received from such agencies.
- ◆ The financial statements are being prepared on the basis of following principals of accounting relating to Expenditures incurred by Implementing Agencies :-
 - Amounts reimbursed to Basic Shiksha Parishad, Allahabad, towards Teacher's Salaries have been claimed as Expenditure at the time of payments i.e. release of funds.
 - Expenditure incurred by Mahila Samakhya is accounted for upon receipt of the statement of expenditure.
 - Expenditures incurred by Director, Basic Education, Director, Literacy and Alternative Education, SIET, Lucknow, SIEMAT,



Allahabad, SCERT, Lucknow, SIE Allahabad and other institutions have been accounted for on the basis of Statement of Expenditures (SOE's) submitted by the respective departments.

- Expenditure incurred by Schools, Offices and Institutions under the agencies of the Viklang Kalyan Department and funded by the Sarva Shiksha Abhiyan are accounted on receipt of statement of expenditure.
- Advances to Block Resource Centers, Cluster Resource Centers and Village Education Committies are treated as Expenditure at the time of giving advances.

5) Utilisation Certificates in respect of Funds sent to Sub-district levels

- ◆ The system of obtaining Utilisation Certificates from BRC, VEC's and CRC's have been introduced and implemented. As per the SSA Accounting Manual, these Utilisation Certificates should be documented and accounted for in the books of accounts along with the proper upkeep of Advance Register, so as to arrive at the Amounts of Advances for which Utilisation Certificates are pending. However presently though, Utilisation Certificates have been obtained but the accounting of the same in the books of accounts with the Advance Register is yet to be initiated.
- ◆ During the course of audit it has been observed that the Utilisation Certificates pertaining to Civil Work i.e. construction of Schools, additional classrooms, toilet etc. have been verified by Construction Incharge of the civil works.



6) Control over Advances to various Institutions for Project Activities

- ◆ The Project' activities have grown manifolds. Besides, DPO's, DIET's, BRC's and CRC's, funds are also being sent to various Institutions to carry out the project activities. It is of utmost importance that suitable control techniques / mechanism are devised and implemented through which such amounts should be adjusted regularly on the basis of Utilisation Certificates submitted by such Institutions.

7) Procurement Procedure

- ◆ While auditing the records of DIETs we have noted concentration of procurement in Mess Contracts, arising out of selecting the contractors on the basis of procurement procedures once followed earlier, without resorting to fresh procurement procedures.

8) Transfer of Funds through EFT mechanism

- ◆ Project has adopted the Electronic Funds Transfer Mechanism (EFT) for transfer of funds from SPO to DPO / DIETs and through bank transfer advices, from DPO to sub-districts units. This has resulted in reduction in the fund transit period and has strengthened the fund management and control mechanism.
- ◆ We further suggest that if the advance details of the budget heads and activities to be covered by such funds be sent to the sub-district level agencies through E. mail, Fax or any other speedy system of

communication, which shall help the implementing agencies to carry the project activities within specified time frame.

9) Physical Verification of Fixed Assets

◆ *Physical verification and accounting of assets procured/received by DPO, State Level Institutions and bodies funded by the Sarva Shiksha Abhiyan fund has not been done at various units. Further, fixed assets be coded with identification numbers and entered in a fixed assets register, which should contain the following information.*

- a) Description of assets*
- b) Date of Purchase*
- c) Purchase Cost*
- d) Identification No.*
- e) Location of Assets*

◆ *Physical verification of all the fixed assets should be done once in a year by these units and documented.*

10) Internal Audit System

◆ *SSA Project, within its ambit having NPEGEL and KGBV project activities, have seen continuous growth and development. To achieve the project objectives, it becomes very pertinent to see that proper internal control systems are in place and Project activities and Expenditures are put to a regular system of close monitoring.*

◆ *Though presently Internal Audit System has been evolved through In House teams of the Project, however such teams should be advised to give more focus on the implementation of the Double Entry*

Accounting System, Bank Reconciliation Statements, Remittances in Transit, Funds Reconciliation's, Miscellaneous Income etc. which are major accounting issues of the Project.

A sound Internal Audit system plays an important role in contributing to the effectiveness of the internal control mechanism. Internal Audit system should provide qualitative support to the Project Management on the project performance, appraisal and effectiveness of the internal control systems laid by the Management.

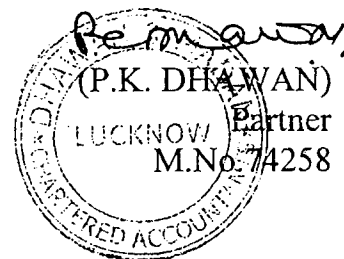
11) Compliance of last year's audit reports

- ◆ *Subject to our comments and suggestions made elsewhere in this report, we have reviewed the status of the compliances of the previous audit reports. The audit reports of the previous year are being complied with.*

Place: Lucknow

Dated: 30-11-2007

DHAWAN & MADAN
Chartered Accountants



Annexure-II

U.P EDUCATION FOR ALL PROJECT BOARD

EXECUTIVE COMMITTEE

I.	Chief Secretary, Government of Uttar Pradesh	Chairman Ex-officio
II.	Principal Secretary/ Secretary, Basic Education, Government of Uttar Pradesh, Department of Education	Vice-Chairman Ex-Officio
III.	Principal Secretary/Secretary, Government of Uttar Pradesh, Department of Finance	Member Ex-Officio
IV.	Secretary, Government of Uttar Pradesh, Department of Rural Development and Panchayati Raj	Member Ex-Officio
V.	Principal Secretary/Secretary, Government of Uttar Pradesh, Department of Planning	Member Ex-Officio
VI.	Director of Education (Basic), Uttar Pradesh	Member Ex-Officio
VII.	Director of Secondary Education, Uttar Pradesh	Member Ex-Officio
VIII.	Director, State Council of Educational Research and Training, Uttar Pradesh	Member Ex-Officio
IX.	Director, Urdu and Oriental Languages, Uttar Pradesh	Member Ex-Officio

x.	Director, State Institute of Educational Management and Training, Uttar Pradesh	Member Ex-Officio
xii.	Director of Women and Child Development, Uttar Pradesh	Member Ex-Officio
xiii.	Two Heads of District Task Force Selected by rotation	Members
xiii.	Two heads of District Committees from amongst Selected districts by rotation	Members
xiv.	Three nominees of the Central Government	Members
xv.	Two Directors/representatives of State level academic and technical resource support agencies to be nominated by the State Govt.	Members
xvi.	Two educationists known for their experience and interest in basic education, one each to be nominated by the State Government and the Central Government	Members
xvii.	Two women with experience and interest in women's development and education, one each to be nominated by the State Government and the Central Government	Members
xviii.	Two persons from Voluntary Agencies who have distinguished themselves for work among scheduled castes and scheduled tribes, one each to be nominated by the State Government and the Central Government	Members
xix.	State Project Director of the Parishad	Member-Secretary Ex-officio