

Minutes of the Fourth National Steering Committee (NSC) meeting held on 4th February 2011 at MHRD on Technical Education Quality Improvement Programme (TEQIP) Phase-II.

Secretary (Higher Education) welcomed all the participants to the meeting (the list of participants is annexed). Special Secretary & National Project Director made a brief presentation, highlighting the following:

- All the State Govts. have to agree to the reform agenda in principle.
- Entry level barriers for benchmarking and selection are a precondition approved by EFC/MHRD and World Bank
- MHRD has the right to set up higher standards for the Project than the minimum set by the statutory authority.
- AICTE has recently aligned the recommended Board of Governors (BoG) structure with that prescribed by the UGC for autonomous colleges.
- All institutions to participate in Sub-Component 1.2 have to be autonomous from beginning.
- All results of selection will be posted on the NPIU's website.
- Funds would be released only when the institution fulfills all the eligibility conditions.

Agenda Item No. 1: Confirmation of the minutes of the Third NSC meeting held on 9th December 2010.

The minutes were confirmed.

Agenda Item No. 2: Action Taken Report (ATR) on the Third NSC meeting.

Taken note of.

Agenda Item no. 3: Discussions on the detailing of the eligibility criteria.

The following eligibility criteria for Sub-Component 1.1 and 1.2 in respect of BoG structure and faculty strength were approved.

A. Sub-Component 1.1 :

A.1 Govt. funded (including NITs/CFIs, Govt. Deemed-to-be-Universities, University Constituent Institutions, University Faculty / Department) **and Govt. aided Institutions:**

For all those institutions that have applied to the NPIU, the deadline for compliance of eligibility criteria is extended from 31st March 2011 to 30th April 2011 to comply with the following BoG structure and faculty strength :

i. BoG Structure:

- a. Govt. Funded Institutions, Govt. Aided Institutions and University Constituent Institutions:

BoG structure as accepted by the State Government or affiliating University subject to the following:

- The Chairperson has to be an eminent educationist/industrialist (should not be an employee of the institution, a serving Govt. official or an elected peoples' representative). In case of a Govt. official or an elected peoples' representative being presently the Chairman of the BoG, time up to 31st October 2011 would be given to comply with the condition of Chairperson to be an eminent educationist/industrialist. Release of funds to such selected institutions depends on compliance with this condition.
 - Two faculty members are to be made members of the BoG.
 - The BoG must have representations from the State Government, the affiliating university and AICTE/UGC (nomination requested can be accepted).
- b. The Deemed-to-be-Universities to comply with the BoG structure as per UGC Regulation-2010.
- c. University Faculty / Department. The Managing Committee (BoG) structure as per the regulations of respective University as made will be considered eligible.
- d. The NITs/CFIs to comply with the BoG structure as per the Act/MoA.

ii. Faculty Strength:

Of the sanctioned faculty strength, the institutions should have a minimum of 40% regular faculty and a minimum overall faculty strength of 50% including faculty on eleven months or longer duration contract.

However, these institutions will have to have a minimum of 50% regular faculty as per the AICTE prescribed faculty student ratio (MHRD norms will apply for NITs & CFIs) within one year of signing of MoU failing which project funding will be stopped.

iii. Submission of proof of compliance and State Government Commitment:

The institutions should inform NPIU through the SPFUs of the full compliance with respect to BoG and faculty strength as above supported by necessary documents by the above mentioned deadline of 30th April 2011.

The SPFUs are required to authenticate the full compliance by institutions and to also provide a letter of commitment to release the required matching grant of project allocation to the each of the institutions .

A.2 Private unaided Institutions:

All those private unaided institutions that have submitted IEP and already have a BoG and meet the bench marks for agreement to implement institutional reforms, age of institution, minimum number of programmes and the faculty strength, will be invited to submit their IDP. The deadline for submission is 30th April 2011.

i. BoG Structure:

The above institutions are required to comply with the following requirement for BoG Structure (by 30th April 2011):

- The Chairperson has to be an eminent educationist/industrialist (should not be employee of the institution, a serving Govt. official or an elected peoples' representative).
- Two faculty members are to be made members of the BoG.
- The BoG must have representations from the State Government, the affiliating university and AICTE/UGC (nomination requested can be accepted).

Private Deemed-to-be-Universities to comply with the BoG structure as per UGC Regulation-2010 (by 30th April 2011).

For Minority Institutions, MHRD is seeking legal opinion with regard to BoG structure and composition. Till the receipt of legal opinion, the existing BoG structures will be considered as provisionally eligible.

ii. Faculty Strength :

The institutions should have faculty members as per AICTE approved norms out of which at least 50% should be regular.

iii. Submission of Institutional Development Proposals (IDPs) and State Government Commitment :

NPIU will invite those Private unaided institutions that fulfill the eligibility criteria for submitting their IDPs to SPFUs. IDPs shall be submitted to the SPFUs by the aforementioned deadline of 30th April 2011 in two parts:

Part-1 : The BoG composition meeting the above stated requirements duly authenticated by the SPFU.

Part-2 : The Institutional Development Proposal (IDP) in the format as prescribed in Project Implementation Plan (PIP).

The institutions that had already submitted IDPs can revise their proposal, and BoG composition, and re-submit by 30th April 2011 to SPFUs.

The State Government is required to provide a letter of commitment to release the required matching grant of project allocation to each of the institutions that have submitted IDPs.

A.3 Evaluation of IDPs for 1.1 :

NPIU would proceed with evaluation of the IDPs of such institutions only which fulfill all the eligibility conditions and have the State Government's firm commitment for release of the required matching grant.

As indicated in the PIP all the project institutions (Govt. funded, Govt. aided, University Constituent Institutions and Private unaided Institutions) would have to be fully autonomous well before the end of second year of the Project. Hence such institutions need to give undertaking that they will apply to UGC latest by 31st December 2011 for autonomy after fulfilling the requirements of the affiliating University and State Government. This undertaking will be a part of the MoU to be signed with the respective Governments .

In case project funds are still available, a second round of selection could be taken up.

B. Sub-Component 1.2 :

B.1 Govt. funded (including NITs/CFIs, Govt. Deemed-to-be-Universities, University Constituent Institutions, University Faculty / Department), **Govt. aided and Private unaided Institutions:**

Faculty strength and percentage of faculty with PhD in Engineering will be re-examined as indicated below:

i. Faculty Strength:

Govt. funded / Govt. aided institutions should have at least 55% of the sanctioned strength appointed on regular basis and a minimum overall faculty strength of 65% including faculty on eleven months or longer duration contract. However, these institutions will have to have a minimum of 65% regular faculty based on AICTE prescribed faculty student ratio (MHRD norms as applicable for NITs & CFIs) within one year of signing of MoU, failing which project funding will be stopped.

The Private unaided institutions should have faculty members as per AICTE approved norms out of which at least 65% should be regular.

ii. Percentage (%) of Faculty with PhD in Engineering

a. Regular States:

Minimum 15% of faculty with PhD in Engineering against total available faculty for Engineering disciplines only.

b. Special Category States:

Minimum 15% of faculty in Engineering and Basic Sciences with PhD against the total faculty for Engineering disciplines and Basic Sciences.

iii. BoG Composition :

The institutions will be provisionally selected and by the time of signing of MoU should meet the following requirement for BoG composition latest by 30th June 2011:

- a. Autonomous and applied for autonomous status Govt. Funded, Govt. Aided, Private unaided, and University Constituent colleges :

These Institutions will have to have the UGC prescribed structure for BoG. The Chairperson has to be an eminent educationist/industrialist (should not be an employee of the institution, a serving Govt. official or an elected peoples' representative). For Govt. Funded and Aided Institutions that have a BoG Chairperson being an elected people's representative or a serving government official, time up to 31st October 2011 would be given to re-constitute their BoG as per the requirement. Signing of MoU and release of funds with such selected institutions depends upon compliance with this condition.

- b. The Deemed-to-be-Universities to comply with the BoG structure as per UGC Regulation-2010.
- c. University Faculty / Department. The Managing Committee (BoG) structure as per the regulations of respective University as made will be considered eligible.
- d. The NITs/CFIs to comply with the BoG structure as per the Act/MoA.

B.2 Final Selection of Institutions :

Govt. Funded and Govt. Aided Institutions :

For the autonomous Institutions that meet all the eligibility criteria as above, the Project will begin in March 2011 with signing of MoU and subsequent release of funds. As and when, the other institutions fulfill the criteria (not beyond 30th June 2011) and become autonomous, MoU would be signed and funds would be released.

Private Unaided Institutions :

Selection of autonomous Private Unaided Institutions in Sub-Component 1.2 would be limited to a maximum of 15 based on fulfilling the above criteria plus the marks scored and the firm commitment of the State Government for release of the required matching grant.

C. Summary of Deadlines:

For the purpose of clarity the summary of deadlines would be as follows:

S. No.	Sub-component	Time line	Activity
1	1.1	30 th April, 2011	a. Govt. funded and Govt. Institutions to comply with the eligibility conditions b. Private unaided institutions to submit the IDPs to the respective SPFUs.
2	1.2	30 th June, 2011	Last date for signing of MoU of all the autonomous institutions which fulfills the eligibility conditions.
3	1.1 & 1.2	31 st October, 2011	To comply with the condition that the Chairperson of BOG is an eminent educationist/industrialist rather than a peoples' representative or a serving government official.

Agenda item no.4: Discussions on the Key Performance Indicator (KPI) Targets with respect to faculty vacancies

Approved.

Agenda item no.5: Discussions on Evaluation Mechanism of IDPs under Sub-Component 1.1 after re-examination of eligibility of Institutions.

While evaluating the IDP under Sub-component 1.1, the number of faculty with regular appointment and the qualification of faculty should be evaluated as important criteria.

Agenda item no.6: Road Map for project commencement.

Amended Road Map be put up in the next meeting.

Agenda item No. 7: Any other business with the permission of the Chair.

i. All decisions of NPIU will be put up on the website of NPIU. A Grievance Redressal Committee with the following members was approved in order to look into the grievances that the institutions may have against the decisions of NPIU :

1. AICTE Chairman – Chairman
2. UGC nominee – Member
3. Central Project Advisor – Member Secretary

ii. Changes in PIP:

It was reiterated that the PIP is the document which steers TEQIP-II. EFC as well as the Cabinet Note was based on it. NPIU was directed to add necessary explanations in the PIP with respect to the decisions taken today pertaining to:

1. BoG
2. Faculty strength and scheme of evaluation of IDP under Sub-Component 1.1
3. PhD in Engineering
4. KPI targets for faculty positions

and obtain necessary approval from competent authority.

- iii. Some members of the Committee requested to allocate funds under retroactive financing to SPFUs. NPIU was directed to take the necessary steps in this regard.
- iv. Release of funds: The NPD informed that release of funds would take place only on full compliance of the stipulated conditions by the institutions at each stage. Under Sub-Component 1.1 the institutions are to be autonomous within two years; their failure to do so could result not only in suspension of further grants but also in recovery of the amounts released.

The meeting ended with a Vote of Thanks to the Chair

List of participants for 4th National Steering Committee Meeting held on 4th February 2011

S.No.	Name & Designation
1	Ms. Vibha Puri Das, IAS, Secretary, Higher Education, MHRD, New Delhi
2	Sh. Ashok Thakur, IAS, Special Secretary (H.E) & NPD (TEQIP), MHRD, New Delhi
3	Ms. Vrinda Sarup, IAS, Principal Secretary (Vocational & T.E), Uttar Pradesh
4	Sh. M. Madan Gopal, IAS, Principal Secretary (H.E), Karnataka
5	Sh. Satish Ch. Tewary, IAS, Principal Secretary (H.E), West Bengal
6	Sh. Mahesh Pathak, IAS, Secretary (H & T.E). Maharashtra
7	Prof. S.S. Mantha, Acting Chairman, AICTE, New Delhi
8	Dr. Hari Gopal, Advisor, Dept. of Science & Technology, New Delhi
9	Sh. Madan Mohan, Adviser, Planning Commission, New Delhi
10	Ms. Pratima Dixit, Director (Mgmt.), MHRD, New Delhi
11	Prof. Sandeep Sancheti, Director, NIT Surathkal
12	Sh. S. Krishnan, Vice President (L&T), FICCI
13	Dr. Sandhya Chintala, Sr. Director, NASSCOM
14	Sh. Anjan Das, Sr. Director, CII
15	Prof. A U Digraskar, Central Project Advisor, NPIU, Noida